

# California Solar Permitting Guidebook

Improving Permit Review  
and Approval for Small Solar Systems



Fall 2014  
Second Edition

Developed by:  
Solar Permitting Task Force  
Governor's Office of Planning and Research  
Office of Governor Edmund G. Brown Jr.

# California Solar Permitting Guidebook

## Improving Permit Review and Approval for Small Solar Systems



Governor's Office of Planning and Research  
Office of Governor Edmund G. Brown Jr.

### Project Managers

Claudia Eyzaguirre, Center for Sustainable Energy  
Jeffrey Mankey, Governor's Office of Planning and Research

### Lead Contributors

California Building Standards Commission  
California Department of Housing and Community Development  
California State Fire Marshal  
California Solar Energy Industries Association (CALSEIA)  
City of Los Angeles  
Mar Structural Design  
Center for Sustainable Energy



Published fall 2014  
Second edition

Partial funding from the U.S. Department of Energy, SunShot Initiative

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## PREFACE

California is a world leader in renewable energy generation. Solar and wind power, as well as emerging technologies such as biomass and fuel cells, are transforming California. Renewable energy is helping to power the state's economy, reducing our state's reliance on imported energy sources and decreasing air pollution.

California's state and local governments have set aggressive goals to expand renewable energy. In 2011, California adopted a Renewable Portfolio Standard (RPS) requiring that at least one-third of the state's electricity come from clean energy sources by 2020. Many local governments also have their own targets for renewable energy. Additionally, Governor Edmund G. Brown Jr. has set a specific goal of developing 12,000 megawatts of small-scale, localized renewable electrical power (often called "distributed generation") in California by 2020.

Small-scale renewable energy benefits California communities. It increases energy reliability for residents and businesses by generating electricity near where it is consumed. This type of energy can also provide stable electricity prices for consumers and creates thousands of jobs across California.

In order to expand small-scale renewable energy across California, Governor Brown instructed the Governor's Office of Planning and Research (OPR) to help remove barriers to its development. One such barrier is the patchwork of permitting requirements for small solar installations throughout the state. Solar energy systems have been installed in California for decades, and their technology, as well as the methods to install and maintain them, is well established. As a result, permitting for these small and simple solar projects should be as simple and standardized as possible.

The first California Solar Guidebook was published in 2012, the result of a collective effort of stakeholders from local government, the building industry, professional associations, solar companies, utility providers and state regulatory agencies. Many local permitting agencies adopted practices and standard documents outlined in the Guidebook. These practices made installing solar less expensive and increased expansion of this technology in California.



Despite these improvements, however, costs to permit solar are still higher than necessary. Increased solar adoption has inundated many jurisdictions with permit applications and inspection requests. Solar technologies have changed, new laws have been passed and codes have been revised. This second edition of the Guidebook addresses those changes, improves upon the recommended process for expedited permitting of solar PV systems, and adds information about solar thermal systems.

# ACKNOWLEDGMENTS

This Guidebook was developed in collaboration with the following individuals and organizations.

Ken Alex, Jeff Mankey	Governor's Office of Planning and Research
Jennifer Alfsen	Solar Nexus
George Apple	CSD Solar
Mark Baldassari	Leo Patnode Enphase Energy
Misha Balmer, Alan Fields, Hilary Pearson	Sungevity
Bill Brooks	Brooks Engineering
Larry Brugger	International Code Council
Steve Burger	City of Folsom
Emilio Camacho, Elli Harland	California Building Officials (CALBO)
Claudia Cappio, Shawn Huff, Kyle Krause, Richard Weinert, Emily Withers	California Energy Commission
Nicholas Chaset	Department of Housing and Community Development
Val Anderson, Daniel Chia, Michael Galvez, Hilary Wall, Rick Hanson	California Public Utility Commission
Sachu Constantine, Claudia Eyzaguirre, Tamara Gishri	SolarCity
Jason Crapo	Center for Sustainable Energy
Wade Crowfoot	Contra Costa County
Andy Davidson	Governor's Office
Bernadette Del Chiaro	Unirac
Tom Enslow	CALSEIA
Gary Gerber	Adams Broadwell
Sharon Goei	Sun Light & Power
Mark Goodman	City of Walnut Creek
Pete Guisasola	CLEE
Daniel Hamilton	Bureau Veritas
Alison Healy	ABAG
Andrew Henning, Kevin Reinertson, Mike Richwine	City of San Francisco
Tonya Hoover	CalFire
Don Hughes	State Fire Marshal
Peter Jackson	Santa Clara County
Mostafa Kashe	City of Bakersfield
Janice Kluth	Los Angeles County
Suzanne Korosec, Sherrill Neidich, Le-Quyen Nguyen	City of Chula Vista
Sheila Lee	California Energy Commission
Brian Leong	City of Santa Clara
Greg Magofna and Sarah Moore	City of Fresno
Jeff Mathias	City of Berkeley
Tom McCalmont	Synergy Solar
Ed Murray	McCalmont Engineering
Jim McGowan, Michael Nearman, Enrique Rodriguez	Aztec Solar
Les Nelson	Building Standards Commission
Kimberly Martin, Stephanie Nicholas, Vince Nicoletti	IAPMO
Susan Oto	San Diego County
Matthew Paiss	Sacramento Municipal Utility District
Rhonda Parkhurst	San Jose Fire Department
Vance Phillips	City of Palo Alto
Michael Quiroz	City of San Ramon
Bob Raymer	3rd Wave Consulting
Patrick Redgate	California Building Industry Association
Rick Renfro	AMECO Solar
Glenn Schainblatt	City of Elk Grove
Bill Stewart	City of Sebastapol
John Taecker	SolarCraft
Theresa Townsend	Underwriters Laboratories
Brandon Treloar, Walker Wright	Division of the State Architect
Shannan West	Sunrun
Scott Wetch	GO Biz
Larry Williams	Carter, Wetch and Associates
John Wolfe	Steel Framing Industry Association
Osama Younan, Behzad Eghtesady	Mar Structural Design
Thomas Yurysta	City of Los Angeles
Robert Woods	Optony
Eddie Bernacchi	City of Concord
Brandon Carlson	National Electrical Contractors Association
Adam Gerza	New Day Solar
	Sullivan Solar

# PURPOSE AND USE OF THIS GUIDE

This Guidebook is designed to help local governments and their permitting agencies improve permitting of small solar energy systems. It is also designed to help building owners and solar installers navigate permitting as efficiently as possible. Practices recommended in this Guidebook apply to permitting agencies of all sizes. The Guidebook is also written for permit applicants with all levels of expertise.

The Guidebook is organized into five main sections.

Part  
**1**

**CURRENT LAWS, REGULATIONS AND CODES:** This section explains current legal requirements for solar installations in California.

Part  
**2**

**THE PROJECT APPROVAL PROCESS:** This section describes important aspects of permit review and project inspection.

Part  
**3**

**RECOMMENDATIONS FOR EXPEDITED LOCAL SOLAR PERMITTING:** These sections recommend a streamlined local permitting process for small, simple solar PV and solar thermal installations, and provide standard forms that can be used to streamline permitting.

Part  
**4**

Part  
**5**

**RESOURCES AND INFORMATION:** This section provides informational materials that can help local governments clarify current state requirements for all solar installations.

The Guidebook concludes with a glossary of terms and a list of helpful information sources for local governments and permit applicants.

This Guidebook focuses on the permit review and approval to install a rooftop solar system. It does not address zoning, land use approvals or environmental review that may be required for larger solar projects.

This Guidebook addresses both solar photovoltaic (PV) and solar hot water heating (solar thermal) technologies. These technologies have many fundamental similarities, as well as several important differences. Where requirements are discussed that apply to only one of these technologies, the text will note this.

In the course of the Guidebook, several types of solar installation are discussed, including systems on residential and commercial building rooftops, in parking lots and on parking structures and mounted on the ground. It is important to note that each installation type has a certain set of installation requirements. In addition, rooftop installations have some differing requirements depending whether they are installed on a commercial or residential building.

The Toolkit section of this Guidebook recommends an expedited permitting process for simple PV systems 10 kW or less and a process for solar thermal systems 30 kWth or less. An expedited permitting process refers to streamlining the permit process for simple, typical solar installations so that permits can be issued in an “over



the counter” or similar manner. This Guidebook uses the terms expedited and streamlined synonymously. These thresholds capture approximately 90% of the solar systems that are currently being installed. Above this size threshold, a system’s design considerations become more complex.

Assembly Bill 2188 (2014, Muratsuchi) requires jurisdictions to adopt an expedited permitting process that “substantially conforms” with that laid out in Parts 3 and 4 of this Guidebook. Jurisdictions may modify these documents as specified and should review these sections of the Guidebook for a more detailed discussion of this process.

An electronic version of this Guidebook that includes clickable links to Internet resources can be found on the websites of several California entities: [The Governor’s Office of Planning and Research](#), [California Building Standards Commission](#), [Office of the State Fire Marshal](#), [California Department of Housing and Community Development](#) and [Center for Sustainable Energy](#).

## State Code Requirements

California's state building codes provide uniform requirements for buildings throughout the state. These requirements are contained in Title 24 of the California Code of Regulations (CCR). The CCR is divided into 28 separate titles based on subject matter or state agency authority. Title 24 is the 24th title within the CCR and is reserved for state regulations that govern the design and constructions of buildings, associated facilities and equipment. These regulations are also known as the state's "building standards."

Title 24 applies to all building occupancies and related features and equipment throughout the state. It contains requirements for a building's structural, mechanical, electrical and plumbing systems, in addition to measures for energy conservation, sustainable construction, maintenance, fire and life safety and accessibility. A common misunderstanding is that Title 24 relates only to energy conservation. In fact, it covers a much wider range of requirements for buildings. Specific areas within Title 24 identify certain requirements for solar PV installations such as the California Electrical Code, the California Building Code and the California Residential Code (which applies to residential buildings of one or two units).

State regulations should not be confused with state laws enacted through the legislative process. State regulations are adopted by state agencies where necessary to implement, clarify and specify requirements of state law. The California Building Standards Commission and the other state adopting agencies review the codes and update Title 24 as appropriate. Title 24 is updated every three years.

Several portions of Title 24 govern installation of a solar energy system.

**California Building Code, Title 24, Part 2**

**California Residential Code, Title 24, Part 2.5 (One- and Two-family dwellings)**

**California Electrical Code, Title 24, Part 3**

**California Energy Code, Title 24, Part 6**

**California Fire Code, Title 24, Part 9**

The intent of this Guidebook is to provide consistent interpretation of these Title 24 requirements throughout the state. This Guidebook is not intended to create, explicitly or implicitly, any new requirements.

While current Title 24 requirements establish several legal standards for installation of solar energy installations, Title 24 may be amended through the state's code update process to further clarify requirements for solar PV installations. Updated information regarding new code requirements, as well as the code updating process, is available on the [California Building Standards](#) website.

## Limits on Local Modifications

### **Building Codes**

Cities and counties in California are required by state law to enforce Title 24 building standards. However, cities and counties may adopt local laws (also called "ordinances") to modify these state building standards under limited circumstances because of local climatic, geological or topographical conditions. This limited allowance means that a city or county may have local ordinances that modify or add to the provisions of Title 24 for solar PV systems. The [California Building Code \(Sections 1.1.8 and 1.1.8.1\)](#) outlines the specific findings that a city or county must make for each amendment, addition or deletion to the state building codes.



Cities, counties and local fire departments file these local amendments to the state building code with the California Building Standards Commission. Findings that are prepared by fire protection districts must be ratified by the local government and are then filed with the California Department of Housing and Community Development.

## **PLANNING AND ZONING REQUIREMENTS**

California's cities and counties have authority to adopt laws that govern local land use. Local governments typically enforce their own general plans and other comprehensive plans that guide development in their communities and then adopt local ordinances and zoning regulations to enforce these plans.

Local governments generally can adopt local laws regarding where certain land uses, such as large commercial energy generation, can be located within their communities. A more detailed conversation regarding land use and zoning for solar projects is addressed in a 2012 guide produced by the [California Planning Directors Association \(CPDA\)](#), which is referenced in the Resources section of this Guidebook. This guide recommends several steps to streamline land use decisions regarding solar energy.

## **REQUIREMENT TO ADOPT AN EXPEDITED PERMITTING PROCESS FOR SMALL ROOFTOP SOLAR**

Assembly Bill 2188 (Muratsuchi, 2014) requires local governments to adopt an administrative ordinance that creates a streamlined permitting process for small rooftop solar system installations on or before September 30, 2015. It also limits the number of inspections that may be required.

These requirements are applicable to solar energy systems that

1. Are no larger than 10 kilowatts alternating current nameplate rating or 30 kilowatts thermal
2. Conform to all applicable state fire, structural, electrical and other building codes as adopted or amended by the city, county or city and county and paragraph (3) of subdivision (c) of Section 714 of the Civil Code
3. Are installed on a single-family or duplex family dwelling
4. Do not exceed the maximum legal building height as defined by the authority having jurisdiction

The law also requires that this streamlined process “substantially conform” to the permitting process laid out in this Guidebook. See Parts 3 and 4 of this Guidebook for an explanation of this process.

Finally, the law sets limits on the cost and efficiency impacts that homeowner associations may have on proposed solar projects. The full text of this bill can be found [here](#).

## **RESTRICTIONS TO LOCAL LIMITS ON SOLAR ENERGY**

Where solar energy is generated for on-site use, state law clearly limits local governments' ability to unreasonably prohibit solar systems. The following state laws place limits on local regulation of solar energy systems.

### ***California Solar Rights Act***

The California Solar Rights Act is a state law, passed in 1979, that elevates the timely and cost-effective installation of solar energy systems as a matter of statewide importance. The law establishes the right of homeowners and businesses to access sunlight in order to generate solar energy, limits the ability of local governments and homeowner associations (HOAs) to prevent the installation of solar systems and prohibits a public entity from receiving state funding for solar energy programs if it unreasonably restricts the installation of solar energy systems.

The act's preamble provides the overarching intent of the act by stating:

*It is the intent of the Legislature that local agencies not adopt ordinances that create unreasonable barriers to the installation of solar energy systems, including, but not limited to, design review for aesthetic purposes, and not unreasonably restrict the ability of homeowners and agricultural and business concerns to install solar energy systems. It is the policy of the state to promote and encourage the use of solar energy systems and to limit obstacles to their use. It is the intent of the Legislature that local agencies comply not only with the language of this section, but also the legislative intent to encourage the installation of solar energy systems by removing obstacles to, and minimizing costs of, permitting for such systems.*

The Solar Rights Act also requires that local governments use an administrative, nondiscretionary review process for on-site solar energy systems. As indicated above, no restrictions related to visual or aesthetic concerns are permitted. Section 65850.5 (c) of the act also prohibits local governments from denying a use permit for a solar energy system “. . . unless it makes written findings based upon substantial evidence in the record that the proposed installation would have a specific, adverse impact upon the public health or safety, and there is no feasible method to satisfactorily mitigate or avoid the specific, adverse impact. The findings shall include the basis for the rejection of potential feasible alternatives of preventing the adverse impact.”

Specific requirements of the Solar Rights Act have been refined through a series of court cases in recent decades. For a more detailed understanding of specific legal requirements of this act, please consult a [report](#) completed by the Energy Policy Initiatives Center at the University of San Diego School of Law, which is detailed in the Resources section at the end of this Guidebook.

Requirements of the Solar Rights Act are contained in the following sections of California law: California Civil Code, Sections 714 and 714.1; California Civil Code, Sections 801 and 801.5; California Government Code, Section 65850.5; California Health and Safety Code Section 17959.1; California Government Code, Sections 66473.1 and 66475.3.

### **California’s Solar Shade Control Act**

California’s Solar Shade Control Act, enacted in 1978, is a state law intended to protect solar systems from being shaded from sunlight by neighboring trees or buildings. A 2008 amendment of this act limits the application of this law to situations in which a neighbor receives a notice that a solar energy system will be installed that they might shade if they plant trees or remodel their building.

Requirements of the act are contained in California Public Resources Code, Sections 25980 through 25986.

### **CEQA Exemption for Certain Solar Installations**

Senate Bill 226, passed in 2011, is a state law establishing that certain solar energy systems are exempt from environmental review under the California Environmental Quality Act (CEQA). To qualify under this statutory exemption, a solar energy project must be located on the roof of either an existing building or on an existing parking lot. SB 226 makes clear the legislative intent that rooftop and parking lot solar projects do not require in-depth environmental review.

This CEQA exemption is contained in Section 21080.35 of the Public Resources Code.

## **Permit Fees**

Current state law requires that fees charged by a local enforcing agency for permit processing and inspection cannot exceed the reasonable cost of providing the service for which the fee is charged. In other words, fee revenue must only be used to defray the cost of permit processing and enforcement and cannot be used for general revenue purposes. These requirements are contained in Government Code Section 65850.55, Government Code Section 66016 and State Health and Safety Code Section 17951.

Government Code Section 66015 further sets specific limits on the amount local enforcing agencies can charge for solar PV permit fees. Fees in excess of these limits must be explicitly justified and are prohibited unless the municipality determines that it has already adopted a streamlined permit approval process.

**Permit Fee Limits for PV**

Residential		Commercial	
15 kW or less	\$500	50 kW or less	\$1000
More than 15 kW	\$500 + \$15 per kW above 15	50 kW – 250 kW	\$1000 + \$7 per kW above 50 kW
		More than 250 kW	\$2400 + \$5 per kW above 250 kW

While some local governments have yet to comply with these laws, other local governments have reduced or even waived fees to install solar systems, recognizing the many benefits created by expansion of solar energy in their communities.

## THE PROJECT APPROVAL PROCESS

Securing approval for a solar energy project involves several basic steps. First, a permit application is submitted to a local permitting agency, known within government as the “enforcing agency” and reviewed by that agency. Typically, a permit is submitted on behalf of a building owner by the contractor installing the solar energy system. Once the permit application is approved, the applicant has permission to build the solar installation. After the solar installation is constructed, it is inspected by the enforcing agency to ensure it complies with applicable building codes and local ordinances. Each of these steps is described in more detail below.

### STEPS FOR PERMIT REVIEW AND APPROVAL

#### *Local Enforcing Agency Review*

Submit permit  
application  
and materials



Permit review  
and approval



Construction  
of solar PV  
system



Site inspection  
and final  
approval

#### *Local Utility Approval*

Submit request to “interconnect”  
the solar installation to the  
local electricity grid



Site inspection and  
interconnection approval

For solar PV installations, during the local agency project approval process the permit applicant should also contact the local utility provider to request permission to connect the solar installation to the local distribution grid. The solar PV system cannot be “turned on” until approval is granted by both the local agency and the local utility.

Well-informed solar installers and knowledgeable, well-trained local agency staff are critical to achieve an efficient permit approval process. Please consult the Resources section of this Guidebook for information on training available to both solar contractors and agency staff. Effective training is one of the most important steps that local governments and the solar industry can take to ensure efficient permitting.

### Permit Application and Plan Review

This section explains the permit review process for solar energy installations and common issues that must be addressed to minimize unexpected delays in the permit review process.

#### **Enforcing Agency Review**

The first step to build a solar installation requires applying for a permit to construct the installation from the local enforcing agency, which is most often the local building department. Each local enforcing agency is organized slightly differently, but all have an established process for receiving, reviewing and approving permits.

The local agency enforces all Title 24 requirements from the state as well as any local requirements on solar energy installations. In many cases, the local agency must interpret how state requirements apply to certain buildings. The enforcing agency must verify that the installation complies with structural requirements and for solar PV installations must verify that it meets electrical requirements and applicable fire safety ratings. Each of these areas is discussed in detail.

## **Structural Requirements**

Installation of a solar energy system on the roof of a structure adds weight to the structure, commonly referred to as “dead load.” This additional weight must be accounted for to ensure that the building can safely bear the weight of the solar installation. In new construction, this additional load is usually addressed easily and at very little cost. Where a solar system is added to existing buildings, the cost and complexity of adding weight to the roof vary depending on the structure of the building and roof.

Solar panels also may impose loads generated by seismic forces and, in some areas, by snow accumulation. Solar panels must also resist wind forces.

The California Building Code and California Residential Code contain specific tables that determine the required size and spacing of structural support for a roof according to assumed design loads based on roof covering, roof slope and snow loads. These specific tables do not address structural support that is required for additional equipment on rooftops such as PV systems.

Roof structures are also designed to carry temporary construction loads, termed “roof live loads,” in addition to the self-weight of the structure. Solar arrays, if installed close to the roof surface, displace roof live loads such as workers and bundles of shingles. This displacement of roof live load creates reserve load-bearing capacity that can be used to justify additional dead and wind loads from solar arrays. This approach is the basis of the Structural Toolkit on page 31 that enables “over-the-counter” permitting for prequalified systems without requiring project-specific structural calculations.

Building codes do provide design criteria that an engineer or architect can use to calculate the required structural support required for additional loads on rooftops, such as solar energy systems. The Solar Guidebook’s Structural Toolkit suggests criteria for when a licensed engineer or architect may need to be consulted when adding solar arrays to a roof structure and when a prequalified system does not require project-specific structural calculations. By reviewing a jurisdiction’s expedited procedures, permit applicants can learn when the local enforcing agency specifically requires the services of a licensed engineer or architect to verify proposed plans for a solar energy system, and when the jurisdiction has an alternate method that waives such requirements.

## **Electrical Requirements (Solar PV systems only)**

Individual components of solar PV systems must comply with the California Electrical Code (CEC). This requirement applies to several system components, including but not limited to the installation’s panels, modules, wire, inverters, connectors and disconnects. The CEC requires these components in the solar PV system to be “identified and listed for the application.” It is important to ensure that the solar system’s components are listed within a product standard that covers the proposed use.

Currently, no complete system listings exist for solar installations, but rather system listings exist for a solar PV installation’s individual components. Components that are identified and listed for solar PV installation application must be installed in accordance with both the California Electrical Code and the manufacturers’ installation instructions. Solar PV systems that use components listed for the application and are properly designed and installed constitute a code-compliant system from an electrical standpoint.

In some circumstances, a professional electrical engineer may be required to design the electrical portion of the proposed solar PV system. The enforcing agency determines if this is necessary based on the complexity of the system. In many cases, an electrical engineer is not required, as licensed contractors are capable of completing the necessary sizing calculations and can specify the components needed to make smaller systems work safely and properly.

The California Electrical Code requires that portions of electrical systems, including solar PV systems, should only be accessed by qualified persons. This rule is intended to ensure that only people who have training or understand relevant hazards are allowed in certain areas of an electrical installation.

### **Fire Classification, Safety and Roof Access and Pathway Requirements (Solar PV systems only)**

Buildings in California may be required to have a fire resistant roof covering, depending on the type of construction, occupancy or geographical location of the building. The California Building and Residential Codes recognize three fire resistant roof ratings: Class A, B and C. These ratings are established through specific testing methods based on the ability of the roof covering to withstand fire that comes from a source on top of the roof structure. Roof materials with Class A fire resistance rating can withstand a high exposure to fire without allowing penetration or ignition of the structure, while Class B and C materials have lesser ability to withstand fire.

California statutorily requires all roof materials installed on buildings throughout state to have a minimum Class C rating. Beginning in 2015, solar panels must have a fire resistant rating that conforms to the fire rating of the roof beneath.<sup>1</sup>

The installation of solar PV systems must also allow for fire department smoke ventilation operations. The California Building, Residential and Fire Codes outline the requirements for a roof access point and clear access pathways along the roof (for more detail on these requirements see the Code Bulletin in the Reference Toolkit). The installation of solar PV systems may be subject to additional provisions adopted by the local enforcing agency.

### **Fire Service Approval (Solar PV systems only)**

Permits for solar PV installations are reviewed to ensure compliance with fire safety requirements. In many communities, the enforcing agency coordinates directly with the fire department to ensure fire safety of PV projects and the permit applicant does not have any direct contact with the fire department.

In some areas, the local fire authority is a fire district or special service district organized to provide fire services. Unlike a fire department, which is typically part of a city or county government, a fire district operates independently under the direction of a locally elected board. Many jurisdictions have established a memorandum of understanding between the local fire authority and the building official that allows the building official to determine and approve fire safety requirements.

In circumstances where the fire authority is separate from city or county government and no MOU established, applicants should contact the local fire authority early in the planning stages of a PV installation to determine if there are any unique requirements or approval process within the specific jurisdiction.

In 2008, the California Office of the State Fire Marshal released a [final draft guideline](#) related to solar PV roof installations. This document was developed through collaboration with local fire departments and the solar industry with safety as the principal objective. The guideline's intent was to provide the solar industry with information to aid in designing, building and installing PV systems to meet this objective.

<sup>1</sup> Other requirements for higher classification may apply. Also see [California State Fire Marshal Bulletin 14-002](#) and [addendum](#).



Portions of the 2008 State Fire Marshal guideline were adopted into the 2012 International Fire Code and are included in the 2013 California Building, Residential and Fire Codes. Permit applicants should contact the local fire authority to determine if specific fire safety requirements beyond current state regulations have been adopted in the local jurisdiction.

## Planning and Zoning

As noted earlier in this guide, California cities and counties have authority to adopt laws that govern local land use, but are limited from restricting solar energy systems where energy is being generated for use on-site. Local governments have more latitude to determine where large, commercial energy generation can be located within their communities. For commercial solar energy projects, developers should determine what if any local plans, laws or regulations govern where the project can be located.

## Site Inspection

After a solar energy system is installed, an inspector from the local enforcing agency physically inspects the installation. This field inspection is the last step before final project approval is granted by the local enforcing agency (note that a PV system cannot begin operating until it has received approval from both the local enforcing agency and the local utility). The field inspection ensures the solar energy system has been installed properly and according to the approved plans. Some local governments conduct “rough” or preinspections to ensure, for example, that roof penetrations are compliant with code or other requirements. Others may inspect the structural integrity of a building prior to installation.

Inspections focus on verifying that an installation is compliant with applicable building code, electrical code and fire safety requirements. To ensure building code compliance, an inspection will verify proper material selection, soundness of structural attachment to the roof or ground and that all components are securely fastened. Inspection of a solar PV installation’s electrical system often focuses on wiring methods, circuit protection, grounding and safety signage. To ensure fire safety of a solar PV installation, the inspection verifies labeling of equipment to limit firefighter exposure to electrical voltage, space for firefighters to access the building or structure and limitations in roof installations due to firefighting suppression techniques.

Currently, inspection standards for solar energy systems can differ among jurisdictions due to differing interpretations of code standards and inconsistent expertise among field inspectors. Permit applicants should ask the local enforcing agency to provide a clear explanation of what the on-site inspection will entail, including what elements of the system the inspector will examine. This Guidebook provides a set of standard inspection criteria, which will eliminate much of this variation if adopted by jurisdictions.

Local enforcing agencies also schedule and execute site inspections differently. Many jurisdictions are able to complete a site inspection within one or two days of notice that construction of the solar installation is completed, while others may take longer. Similarly, some enforcing agencies are able to predict a narrow window of time within which an inspector will visit a property, which saves the permit applicant’s time and money, while other agencies are less precise. Enforcing agencies should work to minimize the delay between the permit approval and site inspection and to minimize the inspection window. Finally, enforcing agencies should strive to eliminate “rough” or preinspections and, instead, ensure compliance with all applicable rules and requirements during the final inspection.

## Local Utility Approval (Solar PV systems only)

All solar PV installations need the local utility’s approval to link into the electricity grid, a process commonly referred to as “interconnection.” This interconnection approval must be granted before a solar PV installation

is allowed to operate and is completely separate from local government approval for the solar installation. Interconnection approval ensures that a solar installation will safely connect and operate on the electricity grid.

Understanding the utility's requirements and process is very important. Permit applicants should contact the local electric utility at the beginning of the project planning stage. Utilities provide information about required interconnection agreements and can also provide information about available financial rebates or incentives. A permit applicant may also apply to the local utility for incentives available for new solar systems under the California Solar Initiative. To qualify for rebates or other incentives, property owners may be required to complete an energy audit before installing a PV system.

California's local utilities differ regarding when they require or allow filing an interconnection application during the local enforcing agency review process. Electric utilities may require or request that a contractor submit their application to the utility for review before a building permit is issued by the local city or county. Also, utilities may require one or more on-site inspections of the project before approving the interconnection agreement. This inspection process is completely different from an inspection performed by the local permitting agency.

The [Public Utilities Code](#) requires that all electric utilities respond within 30 working days after receiving a completed application for interconnection. To help ensure an application is considered complete when first submitted, permit applicants should contact their electric utility to learn the specific information and forms that must be included in the application.

Each utility provides information on its process for interconnection approval for solar PV installations on its website. The Resources section of this Guidebook provides weblinks to this information for the state's largest utilities.

## QUICK TIPS FOR CONTRACTORS & PROPERTY OWNERS WHEN APPLYING FOR A PERMIT

Permit applicants can save time and money by following these tips.

- **Take time to review permit requirements of the local jurisdiction**

Permitting rules and processes differ among different cities and counties. Understanding all local requirements will allow permit applicants to submit a complete and accurate permit application packet the first time.

- **Contact the local electric utility early in the permitting process (solar PV installations)**

Local electric utilities have a completely separate approval process from the local jurisdiction's permitting process. Some electric utilities may require that the solar project be reviewed before the local jurisdiction issues a building permit. Pursuing utility approval early in the permit process enables the solar PV system to become operational as soon as possible.

- **Make sure that the solar installation that is built matches the submitted plans**

The on-site inspector will verify that the installation aligns with proposed plans and any changes may require corrections and additional inspections.

## QUICK TIPS FOR LOCAL PERMITTING AGENCIES

Local agencies can save valuable staff time and resources by following these tips.

- **Provide clear written instructions on the permitting process**

Making this information available on the Internet and at the department's counter are low-cost ways to reduce errors by permit applicants.

- **Take advantage of information technology**

Online or electronic application submittal and permit issuance, including use of e-signatures, can minimize or eliminate backlogs at the counter and, thus, free up staff time to focus on more complex permit applications.

- **Use standardized forms**

Using standard forms that permit applicants simply fill out simplifies review for staff and reduces the possibility of omitted information.

- **Consider hosting contractor training events**

Partnering with the solar contractor community to train contractors on proper permit submittals represents a small investment of time that may avoid hours of staff work processing flawed permitting applications.

- **Standardize requirements across jurisdictions**

Using common permit materials, such as checklists and standard plans, across city and county lines reduces permit submittal errors among contractors working throughout a region.

## RECOMMENDATIONS FOR EXPEDITED PERMITTING FOR SMALL PV SYSTEMS

A streamlined, expedited permit process for small solar PV systems that simplifies and consolidates the structural, electrical and fire review of the PV system, can eliminate the need for detailed engineering studies and avoids unnecessary delays. Many local governments in California have already taken steps to streamline solar permitting realizing resource savings and increased throughput. These efforts have helped to inform the following recommendations.

A streamlined permit process for solar PV projects 10 kW or less includes, but is not limited to, the following elements.

- **Use of a simple eligibility checklist to determine whether projects qualify for expedited permitting and requisite written materials.**
- **Use of a standard plan to describe the proposed solar PV project in the permit application. A standard plan reduces applicant errors and can simplify review.**
- **Permit application materials are made available through the Internet.**
- **Application submittals, fee payment, signatures and permit issuance are completed electronically, where capability exists.**
- **A streamlined process for structural review.**
- **For eligible projects, plan review and permit issuance are completed “over the counter” for walk-in applications or electronic submittals, or automatically through online software. If over-the-counter approval is not offered, a maximum timeframe of 1-3 days in which to review the permit application is provided.**

A streamlined inspection process for solar PV systems should include the following.

- **A single, final inspection coordinated among the various agencies or for inspections by multiple agencies to occur at the same time. Typically this involves coordination between the building department and the local fire authority.**
- **Use of a concise inspection list that provides permit applicants a clear understanding of what elements of the solar installation will be inspected before final approval of the installation.**
- **Enable inspection requests to be submitted online or electronically.**
- **Provide for on-site inspection during the next business day after notification that the solar system has been installed. If next business day not possible, schedule inspection within five days.**
- **Provide a scheduling time window for on-site inspection of no more than two hours, and utilize phone and/or email communication to provide information on anticipated inspection time.**
- **The most streamlined permit process also ensures close coordination between the building department and the local utility to coordinate on-site inspections.**

The model streamlined permit process recommended in this section is intended to apply to PV systems with a maximum power output of 10 kW or less that meet certain criteria. As PV systems increase in size and complexity, the ability to handle these projects via a standard framework diminishes. However, it should be noted that larger PV systems or installations with complicating factors can still be approved in a timely manner through a clear and efficient permit review process.

It is not the intent of an expedited process to circumvent the engineering process. Rather, the intent of a streamlined process is to recognize the similarities among these smaller systems and establish guidelines to determine when a PV project is within the boundaries of typical, well-engineered systems.

Efficient permitting requires cooperation among local permitting staff and solar contractors. Many local enforcing agencies have provided informational training for agency staff and solar contractors to explain local requirements and vice versa. This training has resulted in better educated staff and contractors, reducing permit application errors and saving time and resources for the local permitting agency.

## PV Toolkit for Local Governments

The seven template documents provided in this section form an optional toolkit that local governments can utilize to reduce their costs of permit review, approval and inspection, and to ensure a predictable and efficient process for permit applicants.

Assembly Bill 2188 (2014, Muratsuchi) requires local governments to adopt an expedited permitting process that “substantially conforms” with the process outlined in this Guidebook. According to the bill’s author:

*“The term ‘substantially conform’ is intended to allow local governments enough flexibility to address potential changes that they believe are necessary while still meeting the goal of streamlining and standardizing solar permitting. Further, AB 2188 states that local governments may modify the Guidebook, if necessary, due to ‘unique climatic, geological, seismological or topographical conditions.’ These modifiers are intended to provide additional flexibility for local governments and are not intended to limit how the cities and counties ‘substantially conform’ to the Guidebook.”*

The author further notes that this flexibility is also intended to allow jurisdictions to make any changes necessary to reflect the operational needs of local fire departments or districts.

The templates in this toolkit can be adopted in many jurisdictions with only minor administrative adjustments. However, building officials should review these documents and the assumptions on which they are based, and make further modifications as necessary to meet the needs of their jurisdiction. Jurisdictions are not required to notify the Building Standards Commission of modifications to these documents when adopted.

## Templates for streamlining permitting of small systems (10 kW or less) on one- and two-family homes

- **Submittal Requirements Bulletin** — Outlines the necessary steps to secure permits and details what materials must be submitted in the permit application and key points of the on-site inspection.
- **Eligibility Checklist** — Defines the size, electrical, structural and fire safety requirements for solar installations to qualify for streamlined permitting.
- **Standard Electrical Plans** — Enable applicants to “fill in the blanks” to explain the electrical configuration of a solar PV system. This toolkit offers two simplified standard plans that can be used for small solar PV installations: one for systems using a central/string inverter and another for systems utilizing microinverters. Comprehensive standard plans for **central/string inverter** and for

[microinverters](#) are available online, provide instructions for the simplified standard plans and can be used for PV installations that do not fit into the simplified plans.

### **Materials to further improve permitting of solar PV systems of all sizes**

- **Example MOU (memorandum of understanding)** — Provides a template agreement between two local agencies to coordinate permit review and approval. It can be used, for example, to streamline review between a local building department and a local fire service.
- **Structural Criteria** — Ensures structural code compliance for flush-mounted solar arrays. It enables applicants to submit a simple list and supporting documents after conducting a site audit to determine structural conditions. A [technical appendix](#) describing the technical analysis behind these criteria is listed in the Additional Resources section.
- **Technical Information Bulletin for Solar PV Systems (on all types of buildings)** — Provides consistent and comprehensive information regarding current state requirements for solar PV systems on all buildings, including both residential and commercial buildings, in a local jurisdiction. It could be issued as a department advisory or as an informational handout and can be used by solar installers as a reference document. Enforcing agencies can modify the information bulletin based on local needs or policies.
- **Inspection Guide** — Provides a code reference and field inspection sheet for solar PV systems inspections. This guide is meant to improve consistency and uniformity in inspections and to provide permit applicants with a clear understanding of the process.



## **PV Toolkit for Local Governments**

Template permitting documents that local governments can edit are available at [energycenter.org/permittingtoolkit](https://energycenter.org/permittingtoolkit)



## Submittal Requirements Bulletin — Solar Photovoltaic Installations 10 kW or Less in One- and Two-Family Dwellings

This information bulletin is published to guide applicants through a streamlined permitting process for solar photovoltaic (PV) projects 10 kW in size or smaller. This bulletin provides information about submittal requirements for plan review, required fees and inspections.

*Note: Language in **ALL CAPS** below indicates where local jurisdictions need to provide information specific to the jurisdiction. Language in italics indicates explanatory notes from the authors of this Guidebook.*

### 1. Approval Requirements

The following permits are required to install a solar PV system with a maximum power output of 10 kW or less:

- a) [LIST TYPE OF PERMIT(S) REQUIRED BY THE LOCAL JURISDICTION, i.e., ELECTRICAL OR BUILDING PERMIT].

Planning review [IS/IS NOT] required for solar PV installations of this size.

Fire Department approval [IS/IS NOT] required for solar PV installations of this size.

### 2. Submittal Requirements

- a) Completed permit application form. This permit application form can be downloaded at [WEBSITE ADDRESS].
- b) Demonstrate compliance with the eligibility checklist for expedited permitting. These criteria can be downloaded at [WEBSITE ADDRESS].

*This Guidebook recommends use of a simple checklist to clearly identify eligibility criteria for expedited permitting, where established.*

- c) A completed Standard Electrical Plan. The standard plan may be used for proposed solar installations 10 kW in size or smaller and can be downloaded at [WEBSITE ADDRESS].

*This Guidebook recommends use of a standard plan that allows permit applicants to simply fill in information regarding a solar system's electrical configuration. Template standard plans are provided in this Guidebook (Toolkit Documents 3 and 4).*

*If standard electrical plans are not provided for use, an electrical plan should be submitted that includes the following.*

- *Locations of main service or utility disconnect*
- *Total number of modules, number of modules per string and the total number of strings*
- *Make and model of inverter(s) and/or combiner box if used*
- *One-line diagram of system*
- *Specify grounding/bonding, conductor type and size, conduit type and size and number of conductors in each section of conduit*
- *If batteries are to be installed, include them in the diagram and show their locations and venting*

- Equipment cut sheets including inverters, modules, AC and DC disconnects, combiners and wind generators
  - Labeling of equipment as required by CEC, Sections 690 and 705
  - Site diagram showing the arrangement of panels on the roof or ground, north arrow, lot dimensions and the distance from property lines to adjacent buildings/structures (existing and proposed)
- d) A roof plan showing roof layout, PV panels and the following fire safety items: approximate location of roof access point, location of code-compliant access pathways, PV system fire classification and the locations of all required labels and markings. Examples of clear path access pathways are available in the State Fire Marshal Solar PV Installation Guide. <http://osfm.fire.ca.gov/pdf/reports/solarphotovoltaicguideline.pdf>.
- e) Completed expedited Structural Criteria along with required documentation. Structural Criteria can be downloaded at [WEBSITE ADDRESS].

For non-qualifying systems, provide structural drawings and calculations stamped and signed by a California-licensed Civil or Structural Engineer, along with the following information.

- The type of roof covering and the number of roof coverings installed
- Type of roof framing, size of members and spacing
- Weight of panels, support locations and method of attachment
- Framing plan and details for any work necessary to strengthen the existing roof structure
- Site-specific structural calculations
- Where an approved racking system is used, provide documentation showing manufacturer of the rack system, maximum allowable weight the system can support, attachment method to the roof or ground and product evaluation information or structural design for the rack system

*This Guidebook recommends that local jurisdictions adopt a prescriptive approach to establishing minimal structural requirements that avoids the need for structural calculations. A simple list of criteria is provided in this Guidebook (Toolkit Document 5). A full explanation of the methods and calculations used to produce these criteria can be found in the Structural Technical Appendix for Residential Rooftop Solar Installations, which is available at [http://www.opr.ca.gov/docs/Solar\\_Structural\\_Technical\\_Appendix.pdf](http://www.opr.ca.gov/docs/Solar_Structural_Technical_Appendix.pdf).*

### 3. Plan Review

Permit applications can be submitted to [DEPARTMENT NAME] in person at [ADDRESS] and [IF APPLICABLE] electronically through the following website: [WEBSITE/EMAIL/FAX].

Permit applications utilizing standard plan may be approved “over the counter” at [ADDRESS]. Permit applications may also be submitted electronically for “over the counter” approval [IF APPLICABLE] at the following website: [WEBSITE/EMAIL/FAX].

Permits not approved “over the counter” should be reviewed in [ONE TO THREE] days.

### 4. Fees

[PROVIDE CLEAR FEE SCHEDULE]

### 5. Inspections

Once all permits to construct the solar installation have been issued and the system has been installed, it must be inspected before final approval is granted for the solar system. On-site inspections can be scheduled by contacting [DEPARTMENT] by telephone at [PHONE NUMBER] or electronically at [WEBSITE OR EMAIL ADDRESS]. Inspection requests received within business hours are typically scheduled for the next business day. If next business day is not available, inspection should happen within a five-day window.

Permit holders must be prepared to show conformance with all technical requirements in the field at the time of inspection. The inspector will verify that the installation is in conformance with applicable code requirements and with the approved plans.

The inspection checklist provides an overview of common points of inspection that the applicant should be prepared to show compliance. If not available, common checks include the following.

- Number of PV modules and model number match plans and specification sheets number match plans and specification sheets.
- Array conductors and components are installed in a neat and workman-like manner.
- PV array is properly grounded.
- Electrical boxes are accessible and connections are suitable for environment.
- Array is fastened and sealed according to attachment detail.
- Conductors ratings and sizes match plans.
- Appropriate signs are property constructed, installed and displayed, including the following.
  - Sign identifying PV power source system attributes at DC disconnect
  - Sign identifying AC point of connection
  - Sign identifying switch for alternative power system
- Equipment ratings are consistent with application and installed signs on the installation, including the following.
  - Inverter has a rating as high as max voltage on PV power source sign.
  - DC-side overcurrent circuit protection devices (OCPDs) are DC rated at least as high as max voltage on sign.
  - Switches and OCPDs are installed according to the manufacturer's specifications (i.e., many 600VDC switches require passing through the switch poles twice in a specific way).
  - Inverter is rated for the site AC voltage supplied and shown on the AC point of connection sign.
  - OCPD connected to the AC output of the inverter is rated at least 125% of maximum current on sign and is no larger than the maximum OCPD on the inverter listing label.
  - Sum of the main OCPD and the inverter OCPD is rated for not more than 120% of the bus bar rating.

## 6. Departmental Contact Information

For additional information regarding this permit process, please consult our departmental website at [WEBSITE] or contact [DIVISION NAME] at [PHONE NUMBER].



## TOOLKIT DOCUMENT #2

# Eligibility Checklist for Expedited Solar Photovoltaic Permitting for One- and Two-Family Dwellings

### GENERAL REQUIREMENTS

---

- |  |                            |                            |
|--|----------------------------|----------------------------|
| A. System size is 10 kW AC CEC rating or less  | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| B. The solar array is roof-mounted on one- or two-family dwelling or accessory structure | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| C. The solar panel/module arrays will not exceed the maximum legal building height       | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| D. Solar system is utility interactive and without battery storage                       | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| E. Permit application is completed and attached  | <input type="checkbox"/> Y | <input type="checkbox"/> N |

### ELECTRICAL REQUIREMENTS

---

- |  |                            |                            |
|--|----------------------------|----------------------------|
| A. No more than four photovoltaic module strings are connected to each Maximum Power Point Tracking (MPPT) input where source circuit fusing is included in the inverter | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| 1) No more than two strings per MPPT input where source circuit fusing is not included   | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| 2) Fuses (if needed) are rated to the series fuse rating of the PV module  | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| 3) No more than one noninverter-integrated DC combiner is utilized per inverter  | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| B. For central inverter systems: No more than two inverters are utilized   | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| C. The PV system is interconnected to a single-phase AC service panel of nominal 120/220 Vac with a bus bar rating of 225 A or less                                      | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| D. The PV system is connected to the load side of the utility distribution equipment   | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| E. A Solar PV Standard Plan and supporting documentation is completed and attached   | <input type="checkbox"/> Y | <input type="checkbox"/> N |

### STRUCTURAL REQUIREMENTS

---

- |   |                            |                            |
|---|----------------------------|----------------------------|
| A. A completed Structural Criteria and supporting documentation is attached (if required) | <input type="checkbox"/> Y | <input type="checkbox"/> N |
|---|----------------------------|----------------------------|

### FIRE SAFETY REQUIREMENTS

---

- |  |                            |                            |
|--|----------------------------|----------------------------|
| A. Clear access pathways provided  | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| B. Fire classification solar system is provided  | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| C. All required markings and labels are provided   | <input type="checkbox"/> Y | <input type="checkbox"/> N |
| D. A diagram of the roof layout of all panels, modules, clear access pathways and approximate locations of electrical disconnecting means and roof access points is completed and attached | <input type="checkbox"/> Y | <input type="checkbox"/> N |

#### Notes:

1. These criteria are intended for expedited solar permitting process.
2. If any items are checked NO, revise design to fit within Eligibility Checklist, otherwise permit application may go through standard process.



### TOOLKIT DOCUMENT #3

## Solar PV Standard Plan — Simplified Central/String Inverter Systems for One- and Two-Family Dwellings

**SCOPE:** Use this plan **ONLY** for utility-interactive central/string inverter systems not exceeding a system AC inverter output rating of 10kW on the roof of a one- or two-family dwelling or accessory structure. The photovoltaic system must interconnect to the load side of a single-phase AC service panel of nominal 120/240Vac with a bus bar rating of 225A or less. This plan is not intended for bipolar systems, hybrid systems or systems that utilize storage batteries, charge controllers, trackers, more than two inverters or more than one DC combiner (noninverter-integrated) per inverter. Systems must be in compliance with current California Building Standards Codes and local amendments of the authority having jurisdiction (AHJ). Other Articles of the California Electrical Code (CEC) shall apply as specified in 690.3.

**MANUFACTURER'S SPECIFICATION SHEETS MUST BE PROVIDED** for proposed inverter, modules, combiner/junction boxes and racking systems. Installation instructions for bonding and grounding equipment shall be provided, and local AHJs may require additional details. Listed and labeled equipment shall be installed and used in accordance with any instructions included in the listing or labeling (CEC 110.3). Equipment intended for use with PV system shall be identified and listed for the application (CEC 690.4[D]).

Job Address: \_\_\_\_\_ Permit #: \_\_\_\_\_

Contractor/ Engineer Name: \_\_\_\_\_ License # and Class: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Total # of Inverters installed: \_\_\_\_\_ (If more than one inverter, complete and attach the "Supplemental Calculation Sheets" and the "Load Center Calculations" if a new load center is to be used.)

Inverter 1 AC Output Power Rating: \_\_\_\_\_ Watts

Inverter 2 AC Output Power Rating (if applicable): \_\_\_\_\_ Watts

Combined Inverter Output Power Rating: \_\_\_\_\_ ≤ 10,000 Watts

Location Ambient Temperatures (Check box next to which lowest expected temperature is used):

1) ☐ Lowest expected ambient temperature for the location ( $T_L$ ) = **Between -1 to -5 °C**

☐ Lowest expected ambient temperature for the location ( $T_L$ ) = **Between -6 to -10 °C**

Average ambient high temperature ( $T_H$ ) = 47 °C

Note: For a lower  $T_L$  or a higher  $T_H$ , use the Comprehensive Standard Plan

DC Information:

Module Manufacturer: \_\_\_\_\_ Model: \_\_\_\_\_

2) Module  $V_{oc}$  (from module nameplate): \_\_\_\_\_ Volts      3) Module  $I_{sc}$  (from module nameplate): \_\_\_\_\_ Amps

4) Module DC output power under standard test conditions (STC) = \_\_\_\_\_ Watts (STC)



## 5) DC Module Layout

Identify each source circuit (string) for inverter 1 shown on the roof plan with a Tag (e.g. A,B,C,...)

Number of modules per source circuit for inverter 1

Identify, by tag, which source circuits on the roof are to be paralleled (if none, put N/A)

		Combiner 1:
		Combiner 2:
Total number of source circuits for inverter 1:		

6) Are DC/DC Converters used? ☐ Yes ☐ No If No, skip to Step 7. If Yes enter info below.

DC/DC Converter Model #: \_\_\_\_\_

DC/DC Converter Max DC Input Voltage: \_\_\_\_\_ Volts

Max DC Output Current: \_\_\_\_\_ Amps

Max DC Output Current: \_\_\_\_\_ Volts

Max # of DC/DC Converters in an Input Circuit: \_\_\_\_\_

DC/DC Converter Max DC Input Power: \_\_\_\_\_ Watts

7) Maximum System DC Voltage — Use A1 or A2 for systems without DC/DC converters, and B1 or B2 with DC/DC Converters.

☐ A1. Module  $V_{oc}$  (STEP 2) = \_\_\_\_\_ x # in series (STEP 5) \_\_\_\_\_ x 1.12 (If  $-1 \leq T_L \leq -5^\circ\text{C}$ , STEP 1) = \_\_\_\_\_ V

☐ A2. Module  $V_{oc}$  (STEP 2) = \_\_\_\_\_ x # in series (STEP 5) \_\_\_\_\_ x 1.14 (If  $-6 \leq T_L \leq -10^\circ\text{C}$ , STEP 1) = \_\_\_\_\_ V

Table 1. Maximum Number of PV Modules in Series Based on Module Rated  $V_{oc}$  for 600 Vdc Rated Equipment (CEC 690.7)

Max. Rated Module $V_{oc}$ (*1.12) (Volts)	29.76	31.51	33.48	35.71	38.27	41.21	44.64	48.70	53.57	59.52	66.96	76.53	89.29
Max. Rated Module $V_{oc}$ (*1.14) (Volts)	29.24	30.96	32.89	35.09	37.59	40.49	43.86	47.85	52.63	58.48	65.79	75.19	87.72
Max # of Modules for 600 Vdc	18	17	16	15	14	13	12	11	10	9	8	7	6

Use for DC/DC converters. The value calculated below must be less than DC/DC converter max DC input voltage (STEP 6).

☐ B1. Module  $V_{oc}$  (STEP 2) = \_\_\_\_\_ x # of modules per converter (STEP 6) \_\_\_\_\_ x 1.12 (If  $-1 \leq T_L \leq -5^\circ\text{C}$ , STEP 1) = \_\_\_\_\_ V

☐ B2. Module  $V_{oc}$  (STEP 2) = \_\_\_\_\_ x # of modules per converter (STEP 6) \_\_\_\_\_ x 1.14 (If  $-6 \leq T_L \leq -10^\circ\text{C}$ , STEP 1) = \_\_\_\_\_ V

Table 2. Largest Module  $V_{oc}$  for Single-Module DC/DC Converter Configurations (with 80 V AFCI Cap) (CEC 690.7 and 690.11)

Max. Rated Module $V_{oc}$ (*1.12) (Volts)	30.4	33.0	35.7	38.4	41.1	43.8	46.4	49.1	51.8	54.5	57.1	59.8	62.5	65.2	67.9	70.5
Max. Rated Module $V_{oc}$ (*1.14) (Volts)	29.8	32.5	35.1	37.7	40.4	43.0	45.6	48.2	50.9	53.5	56.1	58.8	61.4	64.0	66.7	69.3
DC/DC Converter Max DC Input (Step #6) (Volts)	34	37	40	43	46	49	52	55	58	61	64	67	70	73	76	79

8) Maximum System DC Voltage from DC/DC Converters to Inverter — Only required if Yes in Step 6  
Maximum System DC Voltage = \_\_\_\_\_ Volts

9) Maximum Source Circuit Current

Is Module  $I_{sc}$  below 9.6 Amps (Step 3)? ☐ Yes ☐ No (If No, use Comprehensive Standard Plan)

10) Sizing Source Circuit Conductors  
 Source Circuit Conductor Size = Min. #10 AWG copper conductor, 90°C wet (USE-2, PV Wire, XHHW-2, THWN-2, RHW-2)  
 For up to 8 conductors in roof-mounted conduit exposed to sunlight at least ½" from the roof covering (CEC 310)  
 Note: For over 8 conductors in the conduit or mounting height of lower than ½" from the roof, use Comprehensive Plan.

11) Are PV source circuits combined prior to the inverter? ☐ Yes ☐ No  
 If No, use Single Line Diagram 1 and proceed to Step 13.  
 If Yes, use Single Line Diagram 2 with Single Line Diagram 4 and proceed to Step 12.  
 Is source circuit OCPD required? ☐ Yes ☐ No  
 Source circuit OCPD size (if needed): 15 Amps

12) Sizing PV Output Circuit Conductors — If a combiner box will NOT be used (Step 11),  
 Output Circuit Conductor Size = Min. #6 AWG copper conductor

13) Inverter DC Disconnect  
 Does the inverter have an integrated DC disconnect? ☐ Yes ☐ No If Yes, proceed to step 14.  
 If No, the external DC disconnect to be installed is rated for \_\_\_\_\_ Amps (DC) and \_\_\_\_\_ Volts (DC)

14) Inverter Information  
 Manufacturer: \_\_\_\_\_ Model: \_\_\_\_\_  
 Max. Continuous AC Output Current Rating: \_\_\_\_\_ Amps  
 Integrated DC Arc-Fault Circuit Protection? ☐ Yes ☐ No (If No is selected, Comprehensive Standard Plan)  
 Grounded or Ungrounded System? ☐ Grounded ☐ Ungrounded

#### AC Information:

15) Sizing Inverter Output Circuit Conductors and OCPD  
 Inverter Output OCPD rating = \_\_\_\_\_ Amps (Table 3)  
 Inverter Output Circuit Conductor Size = \_\_\_\_\_ AWG (Table 3)

Inverter Continuous Output Current Rating (Amps) (Step 14)	12	16	20	24	28	32	36	40	48
Minimum OCPD Size (Amps)	15	20	25	30	35	40	45	50	60
Minimum Conductor Size (AWG, 75°C, Copper)	14	12	10	10	8	8	6	6	6

#### 16) Point of Connection to Utility

Only load side connections are permitted with this plan. Otherwise, use Comprehensive Standard Plan.

Is the PV OCPD positioned at the opposite end from input feeder location or main OCPD location? ☐ Yes ☐ No

If Yes, circle the Max Combined PV System OCPD(s) at 120% value as determined from Step 15 (or Step S20), bus bar Rating, and Main OCPD as shown in Table 4.

If No, circle the Max Combined PV System OCPD(s) at 100% value as determined from Step 15 (or Step S20), bus bar Rating, and Main OCPD as shown in Table 4.

Per 705.12(D)(2): [Inverter output OCPD size [Step #15 or S20] + Main OCPD Size] ≤ [bus size x (100% or 120%)]

Table 4. Maximum Combined Supply OCPDs Based on Bus Bar Rating (Amps) per CEC 705.12(D)(2)										
Bus Bar Rating	100	125	125	200	200	200	225	225	225	
Main OCPD	100	100	125	150	175	200	175	200	225	
Max Combined PV System OCPD(s) at 120% of Bus Bar Rating	20	50	25	60*	60*	40	60*	60*	45	
Max Combined PV System OCPD(s) at 100% Bus Bar Rating	0	25	0	50	25	0	50	25	0	

\*This value has been lowered to 60 A from the calculated value to reflect 10 kW AC size maximum.

Reduction of the main breaker is not permitted with this plan. Otherwise, use Comprehensive Standard Plan.

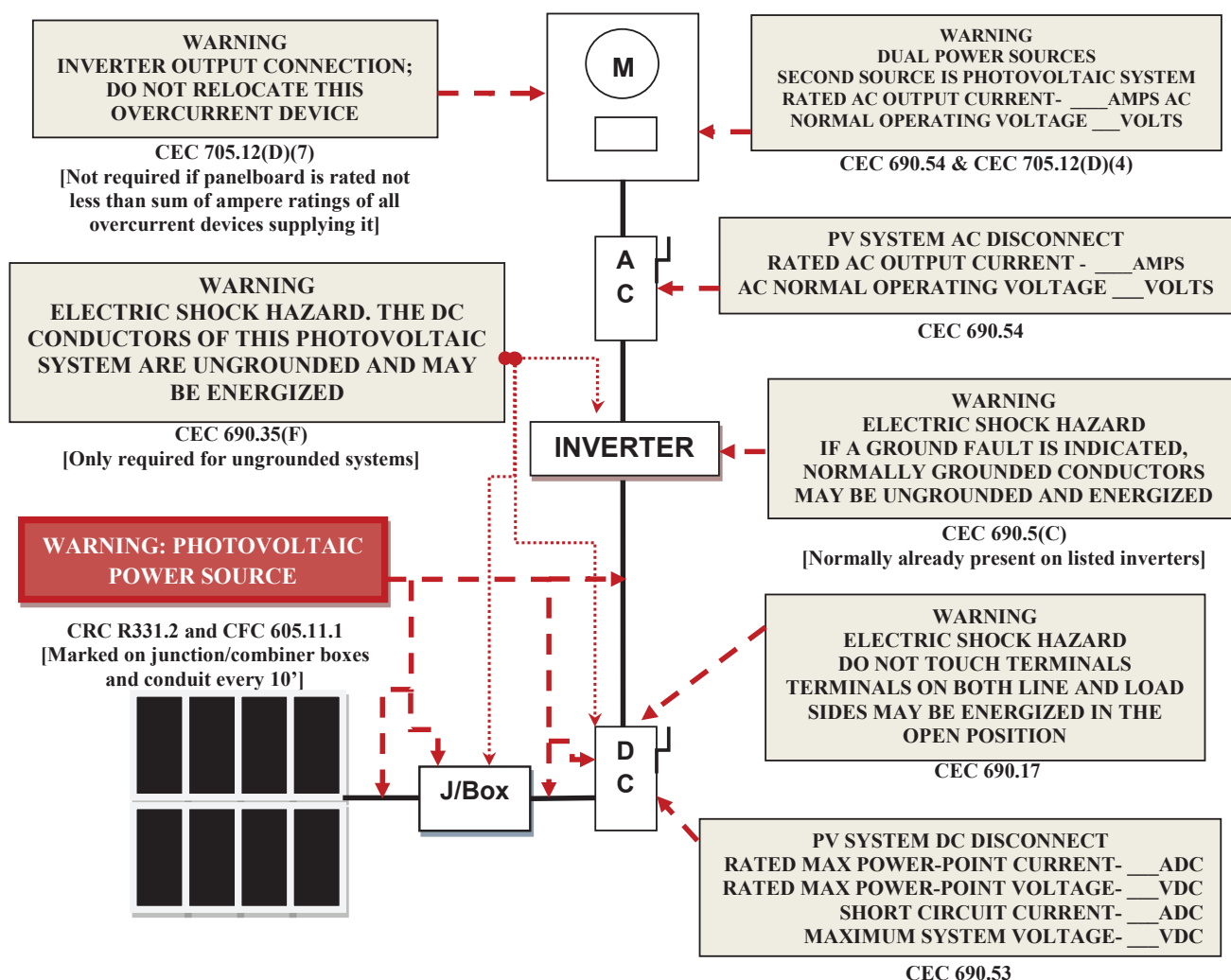
#### 17 & 18 & 19) Labels and Grounding and Bonding

This content is covered by the labels on the next page and the Single Line Diagram(s). For background information, refer to the Comprehensive Standard Plan.

# Solar PV Standard Plan — Simplified Central/String Inverter Systems for One- and Two-Family Dwellings

## Markings

CEC Articles 690 and 705 and CRC Section R331 require the following labels or markings be installed at these components of the photovoltaic system:



### Code Abbreviations:

California Electrical Code (CEC)  
California Residential Code (CRC)  
California Fire Code (CFC)

Informational note: ANSI Z535.4 provides guidelines for the design of safety signs and labels for application to products. A phenolic plaque with contrasting colors between the text and background would meet the intent of the code for permanency. No type size is specified, but 20 point (3/8") should be considered the minimum.

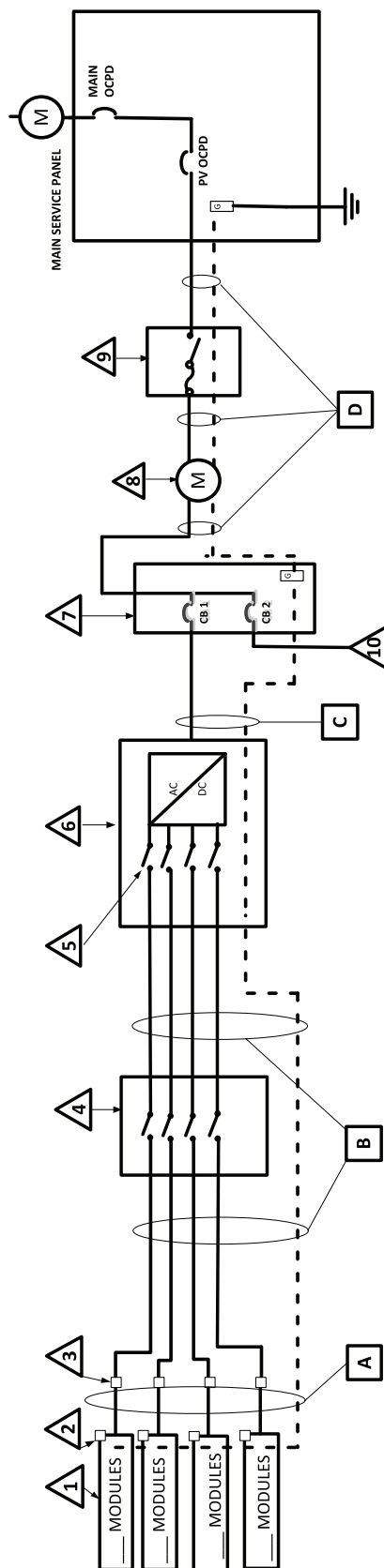
CEC 705.12 requires a permanent plaque or directory denoting all electric power sources on or in the premises.

## Solar PV Standard Plan — Simplified

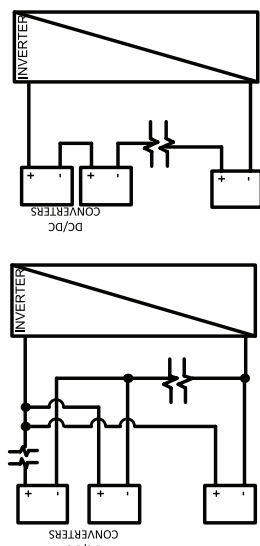
## Central/String Inverter Systems for One- and Two-Family Dwellings

Δ TAG	DESCRIPTION
1	SOLAR PV MODULE / STRING
2	DC/DC CONVERTERS INSTALLED? YES / NO (IF YES, STEPS 6 & 8 REQUIRED)
3	SOURCE CIRCUIT JUNCTION BOX INSTALLED?: YES / NO
4	SEPARATE DC DISCONNECT INSTALLED?: YES / NO
5	INTERNAL INVERTER DC DISCONNECT: YES / NO
6	CENTRAL INVERTER
7	LOAD CENTER INSTALLED?: YES / NO
8	PV PRODUCTION METER INSTALLED?: YES / NO
9	*SEPARATE AC DISCONNECT INSTALLED?: YES / NO
10	CONNECT TO INVERTER #2 (USE LINE DIAGRAM 2)

\* Consult with your local AHJ and /or Utility



IF DC/DC CONVERTERS ARE USED, CHECK THE BOX BELOW THE CORRESPONDING CONFIGURATION



PARALLEL DC/DC CONVERTERS ON ONE SOURCE CIRCUIT (FIXED UNIT VOLTAGE DC/DC CONVERTERS) ☐ DC/DC CONVERTERS ARE ALL RUN IN SERIES (FIXED SOURCE CIRCUIT VOLTAGE DC/DC CONVERTERS) ☐

ENTER "N/A" WHERE SUITABLE FOR  
WHEN NOT USING CONDUIT OR CABLE  
AS PERMITTED BY CODE

		CONDUCTOR/CONDUIT SCHEDULE			
<input type="checkbox"/> TAG	DESCRIPTION AND CONDUCTOR TYPE	CONDUCTOR SIZE	NUMBER OF CONDUCTORS	CONDUIT/CABLE TYPE	CONDUIT SIZE
A	USE-2 <input type="checkbox"/> OR PV-WIRE <input type="checkbox"/>				
B	EGC/GEC:				
C	EGC/GEC:				
D	EGC/GEC:				
	EGC/GEC:				

### SINGLE-LINE DIAGRAM #1 – NO STRINGS COMBINED PRIOR TO INVERTER

**CHECK A BOX FOR WHETHER SYSTEM IS GROUNDED OR UNGROUNDED:**

☐ GROUNDED (INCLUDE GEC)      ☐ UNGROUNDED

**FOR UNGROUNDED SYSTEMS:**  
DC OCPD MUST DISCONNECT BOTH CONDUCTORS OF EACH SOURCE CIRCUIT  
UNGROUNDED CONDUCTORS MUST BE IDENTIFIED PER 210.5(C). WHITE-FINISHED CONDUCTORS ARE NOT PERMITTED.

## Solar PV Standard Plan — Simplified

## Central/String Inverter Systems for One- and Two-Family Dwellings

$\Delta$ TAG	DESCRIPTION
1	SOLAR PV MODULE / STRING
2	DC/DC CONVERTERS INSTALLED? YES / NO (IF YES, STEPS 6 & 8 REQUIRED)
3	SOURCE CIRCUIT JUNCTION BOX INSTALLED? YES / NO
4	COMBINER BOX (STEPS 11 & 12 REQUIRED)
5	SEPARATE DC DISCONNECT INSTALLED? YES / NO
6	INTERNAL INVERTER DC DISCONNECT? YES / NO
7	CENTRAL INVERTER
8	LOAD CENTER INSTALLED? YES / NO
9	PV PRODUCTION METER INSTALLED? YES / NO
10	*SEPARATE AC DISCONNECT INSTALLED? YES / NO
11	CONNECT TO INVERTER #2 (USE LINE DIAGRAM 4)

\* Consult with your local AHJ and /or Utility

## SINGLE-LINE DIAGRAM #2 – COMBINING STRINGS PRIOR TO INVERTER

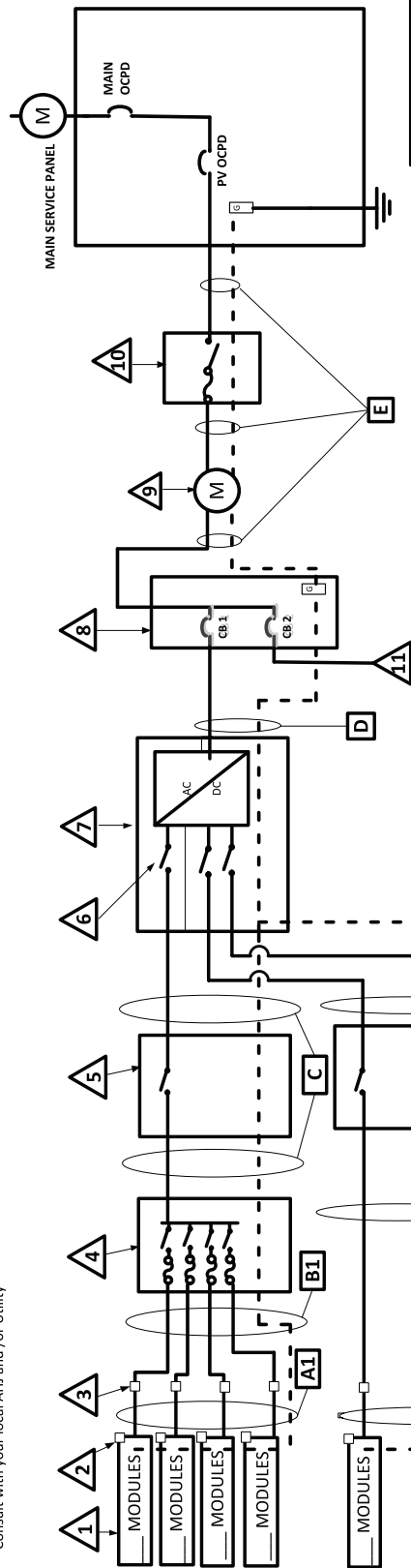
CHECK A BOX FOR WHETHER SYSTEM IS GROUNDED OR UNGROUNDED:

☐ GROUNDED (INCLUDE GEC)      ☐ UNGROUNDED

**FOR UNGROUNDED SYSTEMS:**

**- DC OCPD MUST DISCONNECT BOTH CONDUCTORS OF EACH SOURCE CIRCUIT**

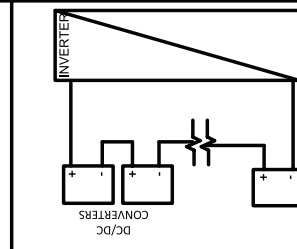
- UNGROUNDED CONDUCTORS MUST BE IDENTIFIED PER 210.5(C). WHITE-FINISHED CONDUCTORS ARE NOT PERMITTED.



		COMBINER CONDUCTOR/CONDUIT SCHEDULE				
<input type="checkbox"/> TAG	DESCRIPTION AND CONDUCTOR TYPE USE-2 <input type="checkbox"/> OR PV-WIRE <input type="checkbox"/>	CONDUCTOR SIZE	NUMBER OF CONDUCTORS	CONDUIT/CABLE		CONDUIT SIZE
				TYPE		
A1	EGC/GEC:					
B1						
C	EGC/GEC:					
D	EGC/GEC:					
E	EGC/GEC:					
	EGC/GEC:					

NON-COMBINED STRINGS CONDUCTOR/CONDUIT SCHEDULE (IF APPLICABLE)					
TAG	DESCRIPTION AND CONDUCTOR TYPE	CONDUCTOR SIZE	NUMBER OF CONDUCTORS	CONDUIT/CABLE TYPE	CONDUIT SIZE
A2	USE 2-□ OR PV-WIRE □				
B2	EGC/GE/C:				
	EGC/GE/C:				
	EGC/GE/C:				

ENTER "N/A" WHERE SUITABLE FOR WHEN NOT USING CONDUIT OR CABLE AS PERMITTED BY CODE



IF DC/DC CONVERTERS ARE USED, THEY ARE RUN IN SERIES (FIXED SOURCE CIRCUIT VOLTAGE DC/DC CONVERTERS)



# Solar PV Standard Plan — Simplified

## Central/String Inverter Systems for One- and Two-Family Dwellings

### Supplemental Calculation Sheets for Inverter #2

(Only include if second inverter is used)

DC Information:

Module Manufacturer: _____ Model: _____		
S2) Module $V_{oc}$ (from module nameplate): _____ Volts	S3) Module $I_{sc}$ (from module nameplate): _____ Amps	
S4) Module DC output power under standard test conditions (STC) = _____ Watts (STC)		
S5) DC Module Layout		
Identify each source circuit (string) for inverter 1 shown on the roof plan with a Tag (e.g. A,B,C,...)	Number of modules per source circuit for inverter 1	Identify, by tag, which source circuits on the roof are to be paralleled (if none, put N/A)
		Combiner 1:
		Combiner 2:
Total number of source circuits for inverter 1: _____		
S6) Are DC/DC Converters used? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If No, skip to Step S7. If Yes, enter info below.		
DC/DC Converter Model #: _____	DC/DC Converter Max DC Input Voltage: _____ Volts	
Max DC Output Current: _____ Amps	Max DC Output Current: _____ Volts	
Max # of DC/DC Converters in an Input Circuit: _____	DC/DC Converter Max DC Input Power: _____ Watts	

**S7) Maximum System DC Voltage** — Use A1 or A2 for systems without DC/DC converters, and B1 or B2 with DC/DC Converters.

- ☐ A1. Module  $V_{oc}$  (STEP S2) = \_\_\_\_\_ x # in series (STEP S5) \_\_\_\_\_ x 1.12 (If  $-1 \leq T_L \leq -5^\circ\text{C}$ , STEP S1) = \_\_\_\_\_ V
- ☐ A2. Module  $V_{oc}$  (STEP S2) = \_\_\_\_\_ x # in series (STEP S5) \_\_\_\_\_ x 1.14 (If  $-6 \leq T_L \leq -10^\circ\text{C}$ , STEP S1) = \_\_\_\_\_ V

**Table 1. Maximum Number of PV Modules in Series Based on Module Rated  $V_{oc}$  for 600 Vdc Rated Equipment (CEC 690.7)**

Max. Rated Module $V_{oc}$ (*1.12) (Volts)	29.76	31.51	33.48	35.71	38.27	41.21	44.64	48.70	53.57	59.52	66.96	76.53	89.29
Max. Rated Module $V_{oc}$ (*1.14) (Volts)	29.24	30.96	32.89	35.09	37.59	40.49	43.86	47.85	52.63	58.48	65.79	75.19	87.72
Max # of Modules for 600 Vdc	18	17	16	15	14	13	12	11	10	9	8	7	6

Use for DC/DC converters. The value calculated below must be less than DC/DC converter max DC input voltage (STEP S6).

- ☐ B1. Module  $V_{oc}$  (STEP S2) = \_\_\_\_\_ x # of modules per converter (STEP S6) \_\_\_\_\_ x 1.12 (If  $-1 \leq T_L \leq -5^\circ\text{C}$ , STEP S1) = \_\_\_\_\_ V
- ☐ B2. Module  $V_{oc}$  (STEP S2) = \_\_\_\_\_ x # of modules per converter (STEP S6) \_\_\_\_\_ x 1.14 (If  $-6 \leq T_L \leq -10^\circ\text{C}$ , STEP S1) = \_\_\_\_\_ V

**Table 2. Largest Module  $V_{oc}$  for Single-Module DC/DC Converter Configurations (with 80 V AFCI Cap) (CEC 690.7 and 690.11)**

Max. Rated Module $V_{oc}$ (*1.12) (Volts)	30.4	33.0	35.7	38.4	41.1	43.8	46.4	49.1	51.8	54.5	57.1	59.8	62.5	65.2	67.9	70.5
Max. Rated Module $V_{oc}$ (*1.14) (Volts)	29.8	32.5	35.1	37.7	40.4	43.0	45.6	48.2	50.9	53.5	56.1	58.8	61.4	64.0	66.7	69.3
DC/DC Converter Max DC Input (Step 6) (Volts)	34	37	40	43	46	49	52	55	58	61	64	67	70	73	76	79

**S8) Maximum System DC Voltage from DC/DC Converters to Inverter** — Only required if Yes in Step S6  
Maximum System DC Voltage = \_\_\_\_\_ Volts

**S9) Maximum Source Circuit Current**

Is Module  $I_{sc}$  below 9.6 Amps (Step S3)? ☐ Yes ☐ No (If No, use Comprehensive Standard Plan)

**S10) Sizing Source Circuit Conductors**

Source Circuit Conductor Size = Min. #10 AWG copper conductor,  $90^\circ\text{C}$  wet (USE-2, PV Wire, XHHW-2, THWN-2, RHW-2)

For up to 8 conductors in roof-mounted conduit exposed to sunlight at least  $\frac{1}{2}$ " from the roof covering (CEC 310)

Note: For over 8 conductors in the conduit or mounting height of lower than  $\frac{1}{2}$ " from the roof, use Comprehensive Plan.

**S11) Are PV source circuits combined prior to the inverter?** ☐ Yes ☐ No

If No, use Single Line Diagram 1 and proceed to Step S13.

If Yes, use Single Line Diagram 2 with Single Line Diagram 4 and proceed to Step S12.

Is source circuit OCPD required? ☐ Yes ☐ No

Source circuit OCPD size (if needed): 15 Amps

**S12) Sizing PV Output Circuit Conductors** — If a combiner box will NOT be used (Step S11),  
Output Circuit Conductor Size = Min. #6 AWG copper conductor

**S13) Inverter DC Disconnect**

Does the inverter have an integrated DC disconnect? ☐ Yes ☐ No If Yes, proceed to Step S14.

If No, the external DC disconnect to be installed is rated for \_\_\_\_\_ Amps (DC) and \_\_\_\_\_ Volts (DC)

#### S14) Inverter Information

Manufacturer: \_\_\_\_\_ Model: \_\_\_\_\_

Max. Continuous AC Output Current Rating: \_\_\_\_\_ Amps

Integrated DC Arc-Fault Circuit Protection? ☐ Yes ☐ No (If No is selected, Comprehensive Standard Plan)

Grounded or Ungrounded System? ☐ Grounded ☐ Ungrounded

#### AC Information:

#### S15) Sizing Inverter Output Circuit Conductors and OCPD

Inverter Output OCPD rating = \_\_\_\_\_ Amps (Table 3)

Inverter Output Circuit Conductor Size = \_\_\_\_\_ AWG (Table 3)

Table 3. Minimum Inverter Output OCPD and Circuit Conductor Size									
Inverter Continuous Output Current Rating (Amps) (Step 14)	12	16	20	24	28	32	36	40	48
Minimum OCPD Size (Amps)	15	20	25	30	35	40	45	50	60
Minimum Conductor Size (AWG, 75°C, Copper)	14	12	10	10	8	8	6	6	6

## Load Center Calculations (Omit if a load center will not be installed for PV OCPDs)

#### S20) Load Center Output:

Calculate the sum of the maximum AC outputs from each inverter.

Inverter #1 Max Continuous AC Output Current Rating [STEP S14] \_\_\_\_\_ × 1.25 = \_\_\_\_\_ Amps

Inverter #2 Max Continuous AC Output Current Rating [STEP S14] \_\_\_\_\_ × 1.25 = \_\_\_\_\_ Amps

Total inverter currents connected to load center (sum of above) \_\_\_\_\_ = \_\_\_\_\_ Amps

Conductor Size: \_\_\_\_\_ AWG

Overcurrent Protection Device: \_\_\_\_\_ Amps

Load center bus bar rating: \_\_\_\_\_ Amps

The sum of the ampere ratings of overcurrent devices in circuits supplying power to a bus bar or conductor shall not exceed 120 percent of the rating of the bus bar or conductor.

## Central/String Inverter Systems for One- and Two-Family Dwellings

# SINGLE-LINE DIAGRAM #3 – ADDITIONAL INVERTER FOR DIAGRAM #1

## INVERTER # 2

CHECK A BOX FOR WHETHER SYSTEM IS GROUNDED OR UNGROUNDED:

☐ GROUNDED (INCLUDE GEC)
 ☐ UNGROUNDED

FOR UNGROUNDED SYSTEMS:  
 - DC OCPD MUST DISCONNECT BOTH CONDUCTORS OF EACH SOURCE CIRCUIT  
 - UNGROUNDED CONDUCTORS MUST BE IDENTIFIED PER 210.5(C), WHITE-FINISHED CONDUCTORS ARE NOT PERMITTED.

IF DC/DC CONVERTERS ARE USED, CHECK THE BOX BELOW THE CORRESPONDING CONFIGURATION

PARALLEL DC/DC CONVERTERS ON ONE SOURCE CIRCUIT (FIXED UNIT VOLTAGE DC/DC CONVERTERS)

DC/DC CONVERTERS ARE ALL RUN IN SERIES (FIXED SOURCE CIRCUIT VOLTAGE DC/DC CONVERTERS)

TAG	DESCRIPTION
1	SOLAR PV MODULE / STRING
2	DC/DC CONVERTERS INSTALLED? YES / NO (IF YES, STEPS 6 & 8 REQUIRED)
3	SOURCE CIRCUIT JUNCTION BOX INSTALLED?: YES / NO
4	SEPARATE DC DISCONNECT INSTALLED?: YES / NO
5	INTERNAL INVERTER DC DISCONNECT: YES / NO
6	CENTRAL INVERTER
7	*SEPARATE AC DISCONNECT INSTALLED?: YES / NO
8	TO LOAD CENTER ON LINE DIAGRAM 1

\* Consult with your local AHJ and /or Utility

CONDUCTOR/CONDUIT SCHEDULE				
TAG	DESCRIPTION AND CONDUCTOR TYPE	CONDUCTOR SIZE	NUMBER OF CONDUCTORS	CONDUIT/CABLE TYPE AND CONDUIT SIZE
A	USE-2 □ OR PV-WIRE □ EGC/GEC:			
B	EGC/GEC:			
C	EGC/GEC:			
	EGC/GEC:			

ENTER "N/A" WHERE SUITABLE FOR WHEN NOT USING CONDUIT OR CABLE AS PERMITTED BY CODE

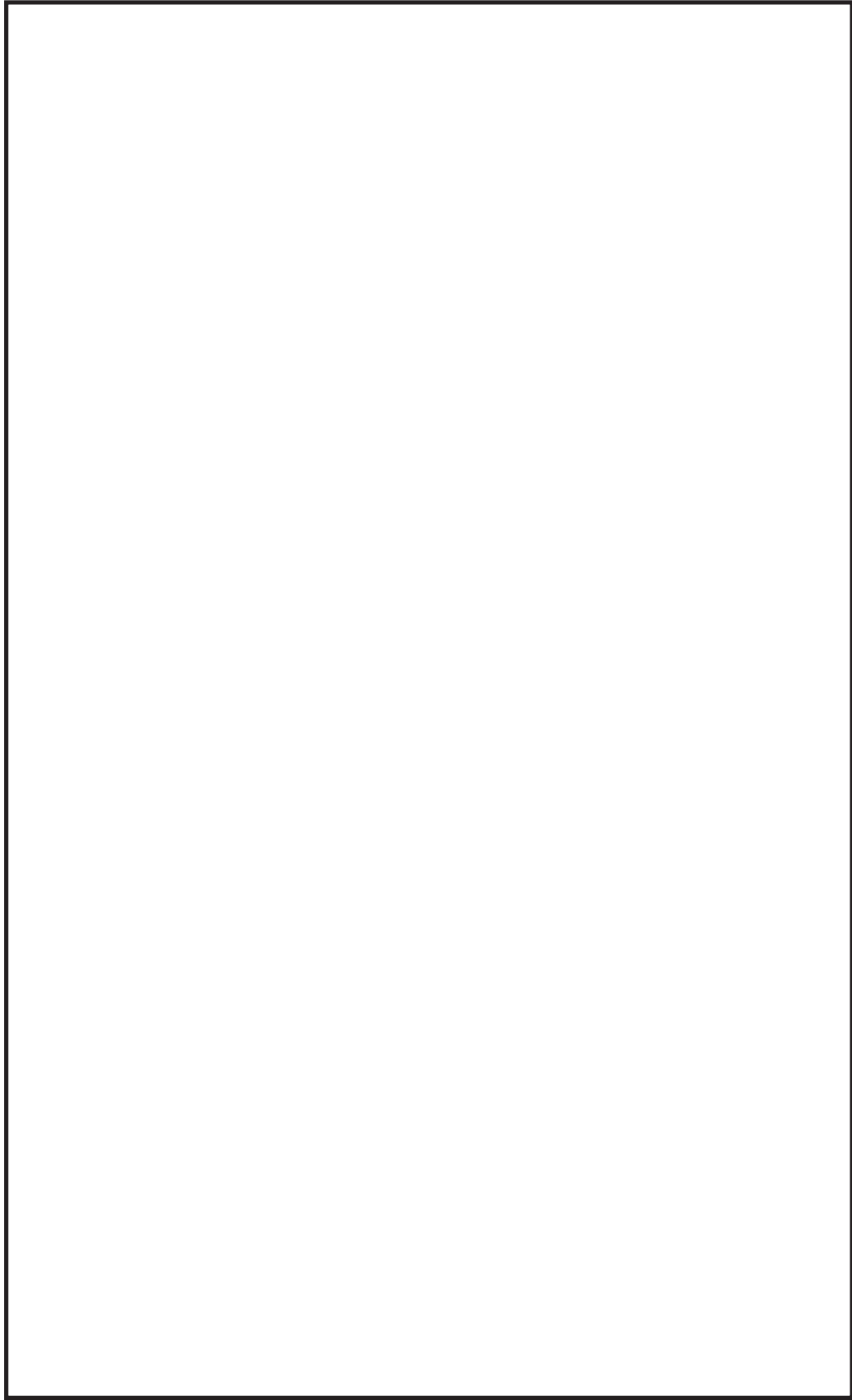
## Solar PV Standard Plan — Simplified

## Central/String Inverter Systems for One- and Two-Family Dwellings

[illegible]

# SOLAR PV STANDARD PLAN

Roof Layout Diagram for One- and Two-Family Dwellings



Items required: roof layout of all panels, modules, clear access pathways and approximate locations of electrical disconnecting means and roof access points.



## TOOLKIT DOCUMENT #4

# Solar PV Standard Plan — Simplified Microinverter and ACM Systems for One- and Two-Family Dwellings

**SCOPE:** Use this plan ONLY for systems using utility-interactive Microinverters or AC Modules (ACM) not exceeding a combined system AC inverter output rating of 10 kW, with a maximum of 3 branch circuits, one PV module per inverter and with PV module ISC maximum of 10-A DC, installed on a roof of a one- or two-family dwelling or accessory structure. The photovoltaic system must interconnect to a single-phase AC service panel of 120/240 Vac with service panel bus bar rating of 225 A or less. This plan is not intended for bipolar systems, hybrid systems or systems that utilize storage batteries, charge controllers or trackers. Systems must be in compliance with current California Building Standards Codes and local amendments of the authority having jurisdiction (AHJ). Other articles of the California Electrical Code (CEC) shall apply as specified in section 690.3.

MANUFACTURER'S SPECIFICATION SHEETS MUST BE PROVIDED for proposed inverters, modules, combiner/junction boxes and racking systems. Installation instructions for bonding and grounding equipment shall be provided and local AHJs may require additional details. Listed and labeled equipment shall be installed and used in accordance with any instructions included in the listing or labeling (CEC 110.3). Equipment intended for use with PV system shall be identified and listed for the application CEC 690.4(D).

## Applicant and Site Information

Job Address: \_\_\_\_\_ Permit #: \_\_\_\_\_

Contractor/ Engineer Name: \_\_\_\_\_ License # and Class: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Phone Number: \_\_\_\_\_

## 1. General Requirements and System Information

☐ Microinverter

Number of PV modules installed: \_\_\_\_\_

Number of Microinverters installed: \_\_\_\_\_

☐ AC Module (ACM)

Number of ACMs installed: \_\_\_\_\_

*Note: Listed Alternating-Current Module (ACM) is defined in CEC 690.2 and installed per CEC 690.6*

1.1 Number of Branch Circuits, 1, 2 or 3: \_\_\_\_\_

1.2 Actual number of Microinverters or ACMs per branch circuit: 1 \_\_\_\_\_ 2. \_\_\_\_\_ 3. \_\_\_\_\_

1.3 Total AC system power rating = (Total Number of Microinverters or ACMs) \* (AC inverter power output)  
= \_\_\_\_\_ Watts

1.4 Lowest expected ambient temperature for this plan in Table 1: For -1 to -5°C use 1.12 or for -6 to -10°C use 1.14 correction factors.

1.5 Average ambient high temperature for this plan: = +47°C

*Note: For lower expected ambient or higher average ambient high temperatures, use Comprehensive Standard Plan.*

## 2. Microinverter or ACM Information and Ratings

Microinverters with ungrounded DC inputs shall be installed in accordance with CEC 690.35.

Microinverter or ACM Manufacturer: \_\_\_\_\_

Model: \_\_\_\_\_

2.1 Rated (continuous) AC output power: \_\_\_\_\_ Watts



2.2 Nominal AC voltage rating: \_\_\_\_\_ Volts

2.3 Rated (continuous) AC output current: \_\_\_\_\_ Amps

**If installing ACMs, skip [STEPS 2.4]**

2.4 Maximum DC input voltage rating: \_\_\_\_\_ Volts (limited to 79 V, otherwise use the Comprehensive Standard Plan)

2.5 Maximum AC output overcurrent protection device (OCPD) \_\_\_\_\_ Amps

2.6 Maximum number of Microinverters or ACMs per branch circuit: \_\_\_\_\_

### 3. PV Module Information

**(If installing ACMs, skip to [STEP 4])**

PV Module Manufacturer: \_\_\_\_\_

Model: \_\_\_\_\_

Module DC output power under standard test conditions (STC) = \_\_\_\_\_ Watts

3.1 Module  $V_{oc}$  at STC (from module nameplate): \_\_\_\_\_ Volts

3.2 Module  $I_{sc}$  at STC (from module nameplate): \_\_\_\_\_ Amps

3.3 Adjusted PV Module DC voltage at minimum temperature = [Table 1] \_\_\_\_\_ [cannot exceed Step 2.4]

Table 1. Module $V_{oc}$ at STC Based on Inverter Maximum DC Input Voltage Derived from CEC 690.7																
Microinverter Max. DC Input [STEP 2.4] (Volts)	34	37	40	43	46	49	52	55	58	61	64	67	70	73	76	79
Max. Module VOC @ STC, 1.12 (-1 to -5°C) Correction Factor (Volts)	30.4	33.0	35.7	38.4	41.1	43.8	46.4	49.1	51.8	54.5	57.1	59.8	62.5	65.2	67.9	70.5
Max. Module VOC @ STC, 1.14 (-6 to -10°C) Correction Factor (Volts)	29.8	32.5	35.1	37.7	40.4	43.0	45.6	48.2	50.9	53.5	56.1	58.8	61.4	64.0	66.7	69.3

### 4. Branch Circuit Output Information

Fill in [Table 3] to describe the branch circuit inverter output conductor and OCPD size. Use [Table 2] for determining the OCPD and Minimum Conductor size.

Table 2. Branch Circuit OCPD and Minimum Conductor Size*				
Circuit Current (Amps)	Circuit Power (Watts)	OCPD (Amps)	Minimum Conductor Size (AWG)	Minimum Metal Conduit Size for 6 Current Carrying Conductors
12	2880	15	12	¾"
16	3840	20	10	¾"
20	4800	25	8	1"
24	5760	30	8	1"

\*CEC 690.8 and 210.19 (A)(1) Factored in Table 2, Conductors are copper, insulation must be 90°C wet-rated. Table 2 values are based on maximum ambient temperature of 69°C, which includes 22°C adder, exposed to direct sunlight, mounted > 0.5 inches above rooftop, ≤ 6 current carrying conductors (3 circuits) in a circular raceway. Otherwise use Comprehensive Standard Plan.

Table 3. PV Array Configuration Summary			
	Branch 1	Branch 2	Branch 3
Number of Microinverters or ACMS [Step 1]			
Selected Conductor Size [Table 2] (AWG)			
Selected Branch and Inverter Output OCPD [Table 2]			

## 5. Solar Load Center (if used)

5.1 Solar Load Center is to have a bus bar rating not less than 100 Amps. Otherwise use Comprehensive Standard Plan.

5.2 Circuit Power see [STEP 1] = \_\_\_\_\_ Watts

5.3 Circuit Current = (Circuit Power) / (AC voltage) = \_\_\_\_\_ Amps

Table 4. Solar Load Center and Total Inverter Output OCPD and Conductor Size**				
Circuit Current (Amps)	Circuit Power (Watts)	OCPD (Amps)	Minimum Conductor Size (AWG)	Minimum Metal Conduit Size
24	5760	30	10	½"
28	6720	35	8	¾"
32	7680	40	8	¾"
36	8640	45	8	¾"
40	9600	50	8	¾"
41.6	≤ 10000	60	6	¾"

\*\*CEC 690.8 and 210.19 (A)(1) Factored in Table 4, Conductors are copper, insulation must be 90°C wet-rated. Table 4 values are based on maximum ambient temperature of 47°C (no rooftop temperature adder in this calculation), ≤ 3 current carrying conductors in a circular raceway. Otherwise use Comprehensive Standard Plan.

## 6. Point of Connection to Utility:

6.1 Load Side Connection only! Otherwise use the Comprehensive Standard Plan.

6.2 Is the PV OCPD positioned at the opposite end from input feeder location or main OCPD location?

☐ Yes ☐ No (If No, then use 100% row in Table 5)

6.3 Per 705.12(D)(2): (Combined inverter output OCPD size + Main OCPD size) ≤ [bus bar size × (100% or 120%)]

Table 5. Maximum Combined Inverter Output Circuit OCPD									
Bus Bar Size (Amps)	100	125	125	200	200	200	225	225	225
Main OCPD (Amps)	100	100	125	150	175	200	175	200	225
Maximum Combined Inverter OCPD with 120% of bus bar rating (Amps)	20	50	25	60 <sup>†</sup>	60 <sup>†</sup>	40	60 <sup>†</sup>	60 <sup>†</sup>	45
Maximum Combined Inverter OCPD with 100% of bus bar rating (Amps)	0	25	0	50	25	0	50	25	0

<sup>†</sup>This plan limits the maximum system size to less than 10 kW, therefore the OCPD size is limited to 60 A. Reduction of Main Breaker is not permitted with this plan.

## 7. Grounding and Bonding

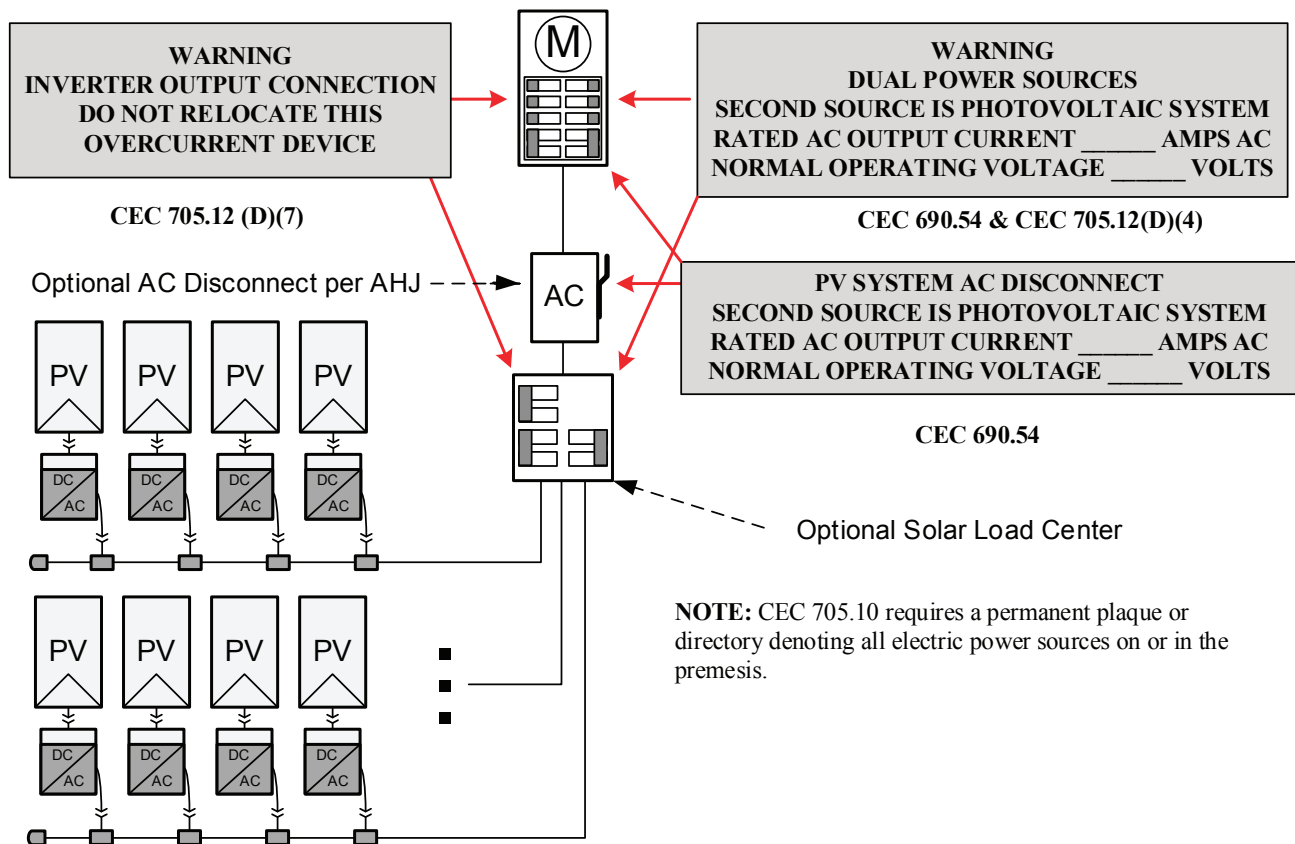
Check one of the boxes for whether system is grounded or ungrounded: ☐ Grounded ☐ Ungrounded

For Microinverters with a grounded DC input, systems must follow the requirements of GEC (CEC 690.47) and EGC (CEC 690.43).

For ACM systems and Microinverters with ungrounded a DC input follow the EGC requirements of (CEC 690.43).

## 8. Markings

Informational note: ANSI Z535.4 provides guidelines for the design of safety signs and labels for application to products. A phenolic plaque with contrasting colors between the text and background would meet the intent of the code for permanency. No type size is specified, but 20 point (3/8") should be considered the minimum.



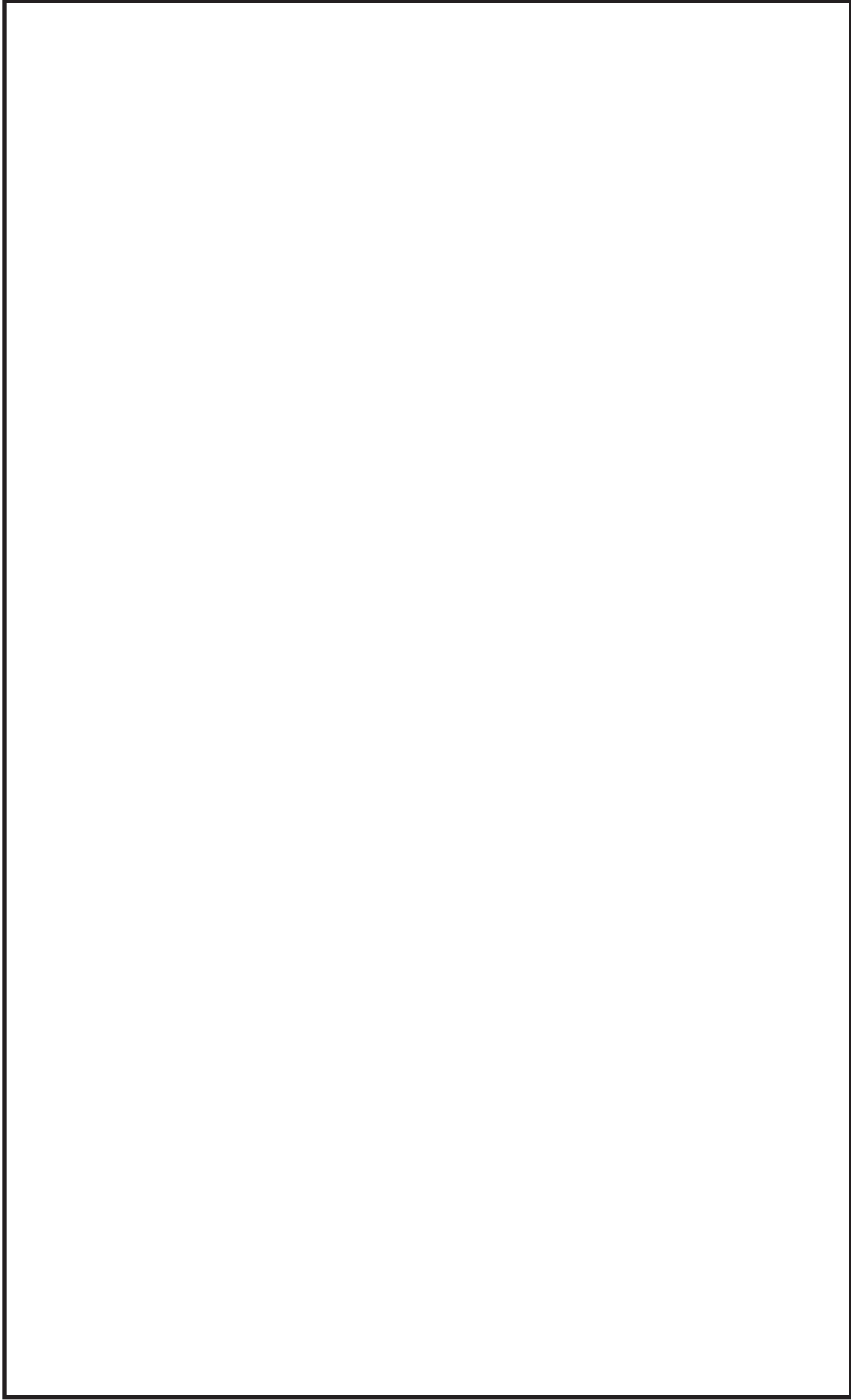
## 9. Single-Inverter Line Diagram

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# SOLAR PV STANDARD PLAN — SIMPLIFIED

Microinverter and ACM Systems for One- and Two-Family Dwellings

## ROOF LAYOUT PLAN



Items required: roof layout of all panels, modules, clear access pathways and approximate locations of electrical disconnecting means and roof access points.



## Structural Criteria for Residential Rooftop Solar Energy Installations

### Use of this document

This toolkit document includes a one-page list of structural criteria for over-the-counter or online approval, as well as attached tables and figures that supplement the criteria and explain their use.

This document applies to flush-mounted solar arrays installed on the roofs of wood-framed one- and two-family dwellings. “Flush-mounted” means the modules are installed parallel to, and relatively close to, the roof surface (see the “Solar Array Check” section of the Structural Criteria for specific qualifying requirements). This list is intended to be a simple pre-installation check to gain reasonable assurance that the design of the solar array complies with the structural provisions of the 2013 California Building Code (CBC) and 2013 California Residential Code (CRC). It is not intended to provide post-installation inspection criteria.

### Currently Used Expedited Solar Permitting Approaches

This document is intended for jurisdictions without an expedited process for residential solar structural permitting, and is not intended to replace or supplant procedures for jurisdictions with an expedited process already in place. Good examples from jurisdictions with provisions for expedited structural permitting include the City of Los Angeles, which exempts residential solar installations from structural permitting if five simple requirements are met, and the East Bay Green Corridor’s streamlined solar permitting process, which uses structural criteria tailored to typical conditions for that consortium of nine cities.

### Regional and Site Assumptions

This document is based on the following regional and site assumptions:

- The dwelling is located in a ZERO snow load area (see Map 1).
- The dwelling is not in Wind Exposure D (within 200 yards of the ocean or a large coastal bay).
- If in Wind Exposure B (urban, suburban or wooded areas), the dwelling may be located:
  - in a Special Wind Region (see Map 2) with design wind speeds between 110 and 130 mph, or
  - on a tall hill, provided average slope is no steeper than 15%.
- If in Wind Exposure C (within 500 yards of large open fields or grasslands), the dwelling is:
  - in a standard 110 mph design wind speed region, and
  - not on a hill with a grade steeper than 5%.

### Additional Options

The Chief Building Official (CBO) may consider adding rows to the structural criteria, based on personal judgment and their jurisdiction’s conditions and history. Possible additional questions include:

- Regional and Site Checks
  - If the jurisdiction is in a mixed snow load area, with zero snow load only at lower elevations, consider asking “is the dwelling lower than elevation \_\_\_\_ feet?”

(Introductory text provided for jurisdiction’s reference only. Do not attach to Criteria that follow.)

- If the jurisdiction is in a coastal region, consider asking “is the dwelling farther than 200 yards from the ocean or a large coastal bay?” to verify the dwelling is not in Wind Exposure D.
- If the jurisdiction is in a Special Wind Region with design wind speeds between 115 and 130 mph, consider verifying that the dwelling is in Wind Exposure B by asking “is the dwelling in an urban, suburban or wooded area, and *not* within 500 yards of open fields and grasslands?”
- If the jurisdiction is in a Special Wind Region with design wind speeds between 115 and 130 mph, consider verifying that there are no significant topographic wind speed-up effects by asking “is the dwelling in a relatively flat area (grade less than 5%) and not within 500 yards of the crest of a tall hill?”
- Roof Check
  - Based on the jurisdiction’s one- and two-family housing stock and code compliance history, many CBOs will find it reasonable to assume that most dwellings’ roof structures were designed to the building code in effect at the time the houses were built. If so, the roof structure code compliance check consists of the Contractor’s visual roof audit, checking for unusual sagging or deterioration, without requiring additional measurements of existing rafters to check against span tables.
  - For CBOs of jurisdictions with evidence of structurally deficient one- and two-family housing stock or poor structural code compliance history, the CBO may elect to add the rafter span check option described in the criteria.

## The Structural Toolkit and CRC Wind Speeds

The 2013 CRC contains an inconsistency related to wind speeds. Despite referencing ASCE 7-10 as its standard, the 2013 CRC’s text and tables use outdated ASCE 7-05 wind speeds. Under the old ASCE 7-05 / CBC 2010, the basic design wind speed in most regions of the state was 85 mph (max. 3 second gust in 50 years). Under ASCE 7-10 / CBC 2013, the design wind speed has increased to 110 mph (max. 3 second gust in 700 years). Despite the different definitions of wind speed, design wind pressures remain essentially unchanged.

Because the Toolkit’s structural document is intended to be forward looking, all wind speeds in the Toolkit document are based on the ASCE 7-10. This is clearly stated in the caption to the state wind speed map, and in the Table 1 footnotes. This anticipates an obvious and expected correction to the CRC; otherwise the Toolkit would become immediately outdated when the CRC is amended to change the base design wind speed from 85 mph to 110 mph.

2013 CRC text (ASCE 7-05) wind speeds equivalent to the 2013 CRC and CBC Reference Standard (ASCE 7-10) are shown below. See ASCE 7-10 Table C26.5-6 for additional information.

2013 CRC text <u>ASCE 7-05</u>	2013 CRC and CBC Referenced Standard <u>ASCE 7-10</u>
85 mph	110 mph
90 mph	115 mph
95 mph	120 mph
100 mph	126 mph
105 mph	133 mph

(Introductory text provided for jurisdiction’s reference only. Do not attach to Criteria that follow.)



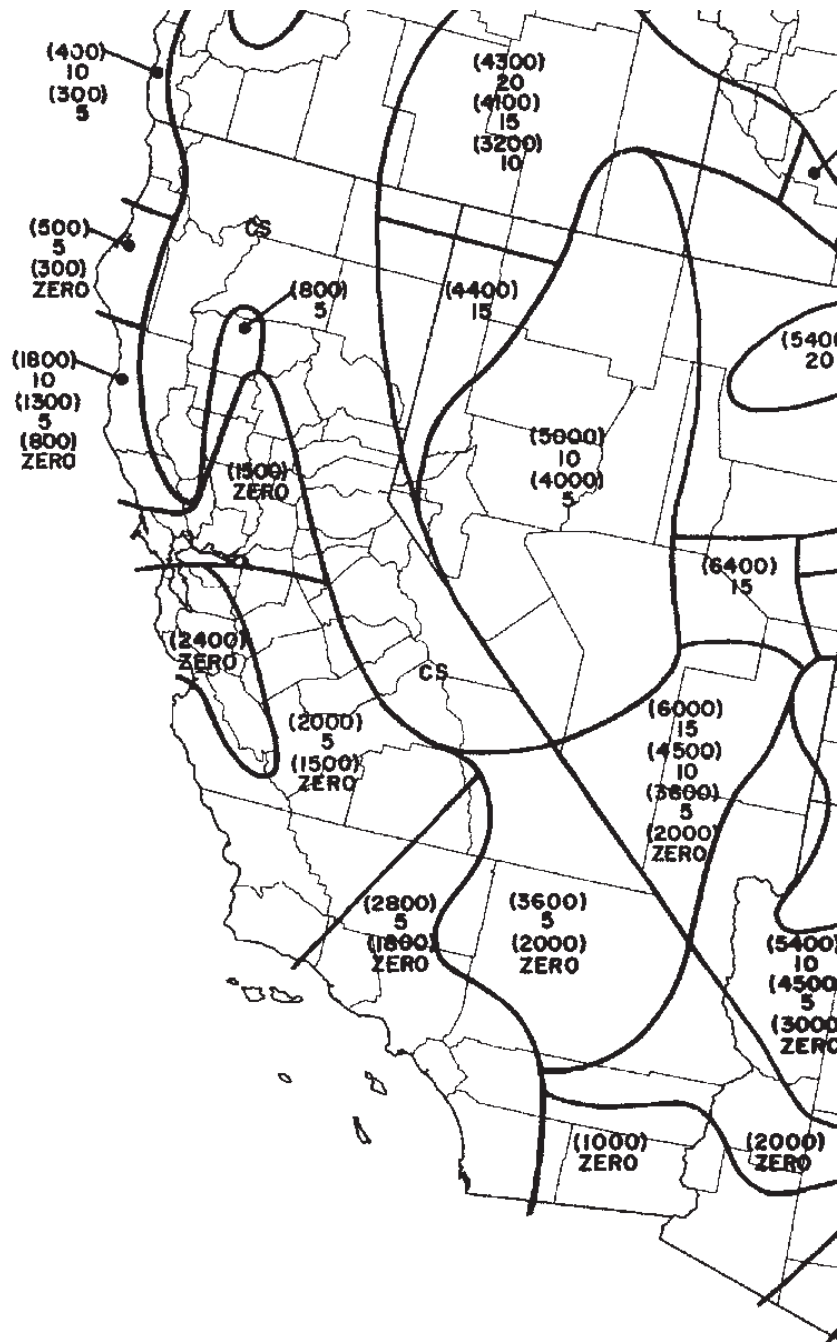
## Structural Technical Appendix

This toolkit document is supported by a Structural Technical Appendix that describes the technical analysis behind these criteria, which are based on structural engineering principles and the California Building and Residential Codes. The Technical Appendix also provides some additional guidance to address non-conforming items, such as when an anchor layout is not based on a solar support component manufacturer's guidelines, or when a coastal site is located within 200 yards of the ocean (Exposure D). This document can be found [online](#).

## Probability of Code Compliance

The Structural Technical Appendix includes a section that examines the probabilities associated with the assumptions behind Table 1 that allows six feet cross-slope anchor spacing in some circumstances. That statistical analysis estimates that the probability of code noncompliance for six feet anchor spacing is only 2 in a thousand installations (0.2%). Note that probability of structural failure is orders of magnitude lower than the probability of code *noncompliance*.

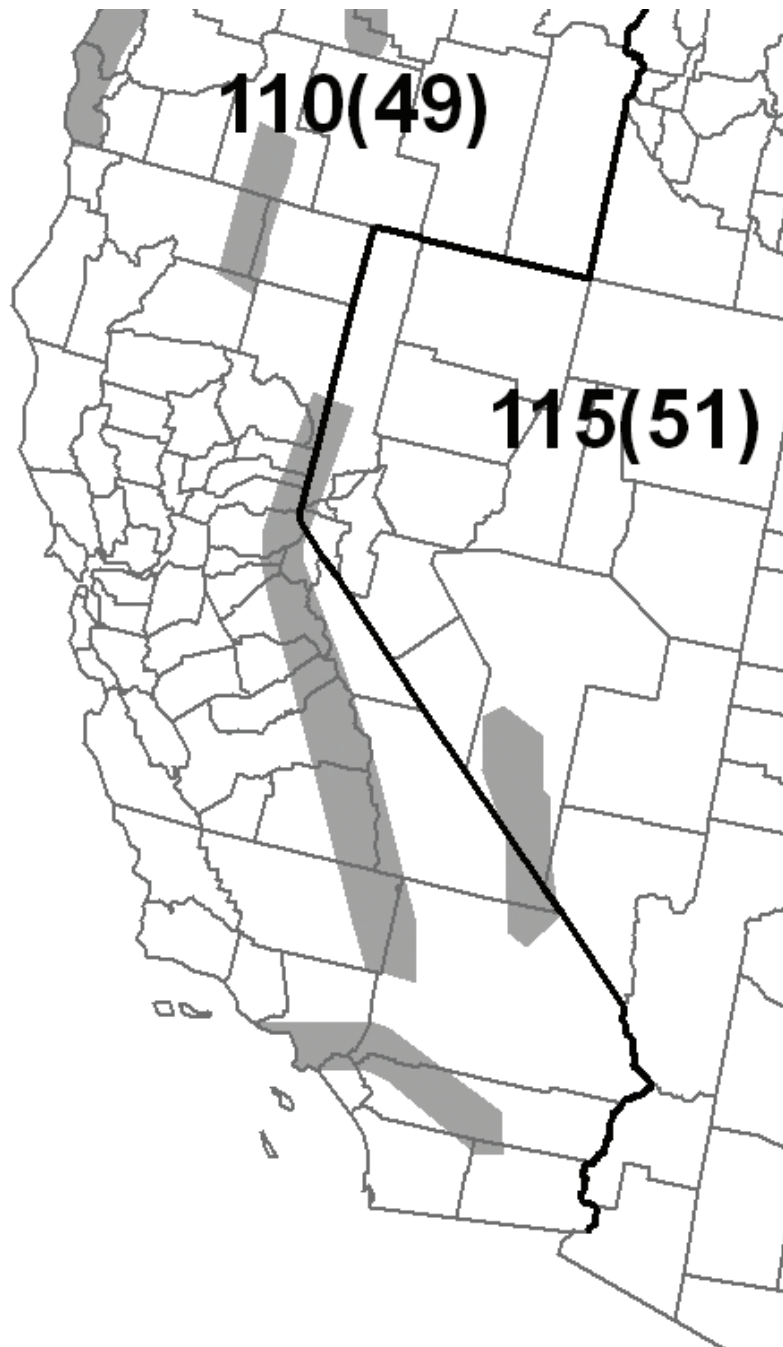
(Introductory text provided for jurisdiction's reference only. Do not attach to Criteria that follow.)



**Map 1. California Ground Snow Load Map (Ref: ASCE 7-10).**

The numbers in parentheses represent the upper elevation limits in feet for the ground snow load in psf listed below the elevation. Example: (2400) ZERO in the South San Francisco bay area indicates that zero ground snow loads occur from sea level up to an elevation of 2400 feet. CS indicates “Case Studies” where extreme local variations in ground snow loads occur. Non-zero snow load areas and Case Study (CS) areas are excluded from the use of this structural toolkit document. See the Technical Appendix for additional information.

(Map provided for jurisdiction’s reference only. Do not attach to Criteria that follow.)



**Map 2. California Design Wind Speed Map (Ref: ASCE 7-10).**

The number outside the parentheses represents the design wind speed in mph. Typical design wind speed is 110 mph. The grey shaded areas on the map indicate “special wind regions” where higher wind speeds may apply. When the project is in a grey shaded area, contact the local building department for the design wind speed.

(Map provided for jurisdiction’s reference only. Do not attach to Criteria that follow.)

# STRUCTURAL CRITERIA FOR RESIDENTIAL FLUSH-MOUNTED SOLAR ARRAYS

## 1. ROOF CHECKS

### A. Visual Review/Contractor's Site Audit of Existing Conditions:

- 1) Is the roof a single roof without a reroof overlay? ☐ Y ☐ N
- 2) Does the roof structure appear structurally sound, without signs of alterations or significant structural deterioration or sagging, as illustrated in Figure 1? ☐ Y ☐ N

### B. Roof Structure Data:

- 1) Measured roof slope (e.g. 6:12): \_\_\_\_\_:12
- 2) Measured rafter spacing (center-to-center): \_\_\_\_\_ inch
- 3) Type of roof framing (rafter or manufactured truss): ☐ Rafter ☐ Truss

## 2. SOLAR ARRAY CHECKS

### A. Flush-mounted Solar Array:

- 1) Is the plane of the modules (panels) parallel to the plane of the roof? ☐ Y ☐ N
- 2) Is there a 2" to 10" gap between underside of module and the roof surface? ☐ Y ☐ N
- 3) Modules do not overhang any roof edges (ridges, hops, gable ends, eaves)? ☐ Y ☐ N

### B. Do the modules plus support components weigh no more than:

- 4 psf for photovoltaic arrays or 5 psf for solar thermal arrays? ☐ Y ☐ N

### C. Does the array cover no more than half of the total roof area (all roof planes)?

☐ Y ☐ N

### D. Are solar support component manufacturer's project-specific completed worksheets, tables with relevant cells circled, or web-based calculator results attached?

☐ Y ☐ N

### E. Is a roof plan of the module and anchor layout attached? (see Figure 2)

☐ Y ☐ N

### F. Downward Load Check (Anchor Layout Check):

- 1) Proposed anchor horizontal spacing (see Figure 2): \_\_\_\_\_' - \_\_\_\_\_"ft-in
- 2) Horizontal anchor spacing per Table 1: \_\_\_\_\_' - \_\_\_\_\_"ft-in
- 3) Is proposed anchor horizontal spacing less than Table 1 spacing? ☐ Y ☐ N

### G. Wind Uplift Check (Anchor Fastener Check):

- 1) Anchor fastener data (see Figure 3):
- a. Diameter of lag screw, hanger bolt or self-drilling screw: \_\_\_\_\_ inch
- b. Embedment depth of rafter: \_\_\_\_\_ inch
- c. Number of screws per anchor (typically one): \_\_\_\_\_
- d. Are 5/16" diameter lag screws with 2.5" embedment into the rafter used, OR does the anchor fastener meet the manufacturer's guidelines? ☐ Y ☐ N

## 3. SUMMARY

- ☐ A. All items above are checked YES. No additional calculations are required.
- ☐ B. One or more items are checked NO. Attach project-specific drawings and calculations stamped and signed by a California-licensed Civil or Structural Engineer.

Job Address: \_\_\_\_\_

Permit #: \_\_\_\_\_

Contractor/Installer: \_\_\_\_\_

License # & Class: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Phone #: \_\_\_\_\_

## Optional Additional Rafter Span Check Criteria

[ At option of CBO, insert rows (4) to (7) below into table above after row 1.B.(3) ]

## 1. ROOF CHECKS

### B. Roof Structure Data:

- 4) Measured rafter size (e.g. 13/4 x 33/4, not 2x4): \_\_\_\_\_ x \_\_\_\_\_ inch
- 5) Measured rafter horizontal span (see Figure 4): \_\_\_\_\_' - \_\_\_\_\_"ft-in
- 6) Horizontal rafter span per Table 2: \_\_\_\_\_' - \_\_\_\_\_"ft-in
- 7) Is measured horizontal rafter span less than Table 2 span? ☐ Y ☐ N ☐ Truss

(Jurisdictions may delete "Optional Additional Rafter Span Check" at bottom of this page, or incorporate into main list of Structural Criteria above)

Table 1. Maximum Horizontal Anchor Spacing				
Roof Slope		Rafter Spacing		
		16" o.c.	24" o.c.	32" o.c.
Photovoltaic Arrays (4 psf max)				
Flat to 6:12	0° to 26°	5'-4"	6'-0"	5'-4"
7:12 to 12:12	27° to 45°	1'-4"	2'-0"	2'-8"
13:12 to 24:12	46° to 63°	1'-4"	2'-0"	2'-8"
Solar Thermal Arrays (5 psf max)				
Flat to 6:12	0° to 26°	4'-0"	4'-0"	5'-4"
7:12 to 12:12	27° to 45°	1'-4"	2'-0"	2'-8"
13:12 to 24:12	46° to 63°	Calc. Req'd	Calc. Req'd	Calc. Req'd

*Solar support component manufacturer's guidelines may be relied upon to ensure the array above the roof is properly designed, but manufacturer's guidelines typically do NOT check to ensure that the roof itself can support the concentrated loads from the solar array. Table 1 assumes that the roof complied with the building code in effect at the time of construction, and places limits on anchor horizontal spacing to ensure that a roof structure is not overloaded under either downward loads or wind uplift loads. Note 4 below lists the basic assumptions upon which this table is based.*

Table 1 Notes:

1. Anchors are also known as "stand-offs", "feet", "mounts" or "points of attachment". Horizontal anchor spacing is also known as "cross-slope" or "east-west" anchor spacing (see Figure 2).
2. If anchors are staggered from row-to-row going up the roof, the anchor spacing may be twice that shown above, but no greater than 6'-0".
3. For manufactured plated wood trusses at slopes of flat to 6:12, the horizontal anchor spacing shall not exceed 4'-0" and anchors in adjacent rows shall be staggered.
4. This table is based on the following assumptions:
  - The roof structure conformed to building code requirements at the time it was built.
  - The attached list of criteria are met.
  - Mean roof height is not greater than 40 feet.
  - Roof sheathing is at least 7/16" thick oriented strand board or plywood. 1x skip sheathing is acceptable.
  - If the dwelling is in Wind Exposure B (typical urban, suburban or wooded areas farther than 500 yards from large open fields), no more than one of the following conditions apply:
    - The dwelling is located in a special wind region with design wind speed between 115 and 130 mph per ASCE 7-10, or
    - The dwelling is located on the top half of a tall hill, provided average slope steeper is less than 15%.
  - If the dwelling is In Wind Exposure C (within 500 yards of large open fields or grasslands), all of the following conditions apply:
    - Design wind speed is 110 mph or less (not in a Special Wind Region), and
    - The dwelling is not located on the top half of a tall hill.
  - The solar array displaces roof live loads (temporary construction loads) that the roof was originally designed to carry.
  - The Structural Technical Appendix provides additional information about analysis assumptions.

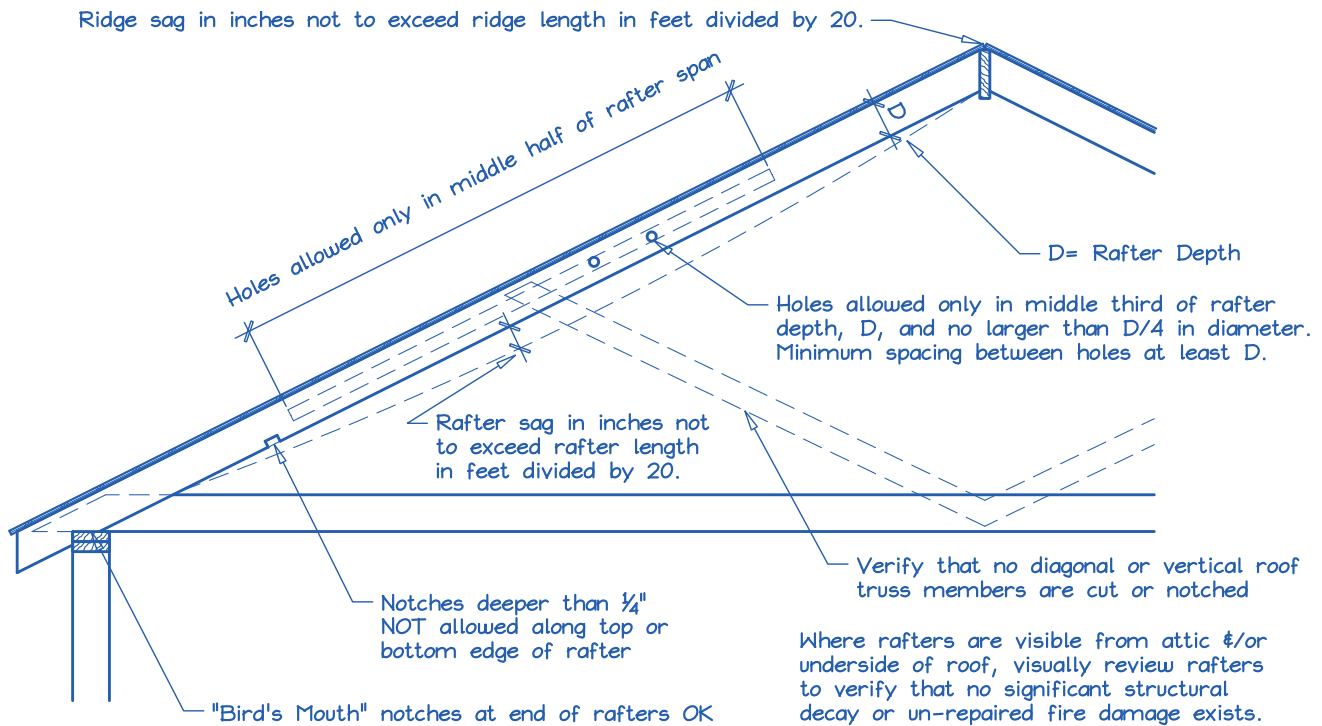
Table 2. Roof Rafter Maximum Horizontal Span (feet - inches) <sup>1</sup>								
Assumed Vintage	Nominal Size	Actual Size	Non-Tile Roof <sup>2</sup>			Tile Roof <sup>3</sup>		
			Rafter Spacing					
			16" o.c.	24" o.c.	32" o.c.	16" o.c.	24" o.c.	32" o.c.
Post-1960	2x4	1½"x3½"	9'-10"	8'-0"	6'-6"	8'-6"	6'-11"	5'-6"
	2x6	1½"x5½"	14'-4"	11'-9"	9'-6"	12'-5"	10'-2"	8'-0"
	2x8	1½"x7¼"	18'-2"	14'-10"	12'-0"	15'-9"	12'-10"	10'-3"
Pre-1960	2x4	1¾"x3¾"	11'-3"	9'-9"	7'-9"	10'-3"	8'-6"	6'-9"
	2x6	1¾"x5¾"	17'-0"	14'-0"	11'-3"	14'-9"	12'-0"	9'-9"
	2x8	1¾"x7¾"	22'-3"	18'-0"	14'-6"	19'-0"	15'-6"	12'-6"

Beyond a visual review by the Contractor checking for unusual sagging or deterioration, some CBOs may want additional assurance that the roof structure complies with structural building code requirements. Table 2 is an optional table some CBOs may elect to use to provide additional assurance by requiring a check of existing roof rafter spans, and supports optional criteria 1.B.5 and 1.B.6. For post-1960 construction, these span tables match the rafter span tables found in the 2013 California Building and Residential codes. For pre-1960 construction, the rafter span tables are based on structural calculations with lumber sizes and wood species & grade appropriate for older construction. Note 5 below lists the basic assumptions upon which this table is based.

Table 2 Notes:

1. See Figure 4 for definition of roof rafter maximum horizontal span.
2. "Non-tile Roof" = asphalt shingle, wood shingle & wood shake, with an assumed roof assembly weight of 10 psf.
3. "Tile Roof" = clay tile or cement tile, with an assumed roof assembly weight of 20psf
4. Unaltered manufactured plated-wood trusses may be assumed to be code compliant and meet intent of Table 2.
5. This table is based on the following assumptions:
  - Span/deflection ratio is equal to or greater than 180.
  - For post-1960 construction, wood species and grade is Douglas Fir-Larch No. 2.
  - For pre-1960 construction, wood species and grade is Douglas Fir-Larch No. 1.
  - Other wood species and/or grade are also acceptable if allowable bending stress is equal or greater to that listed above.

(Attach Table 2 ONLY if the Optional Additional Rafter Span Check is added to the list of Structural Criteria)

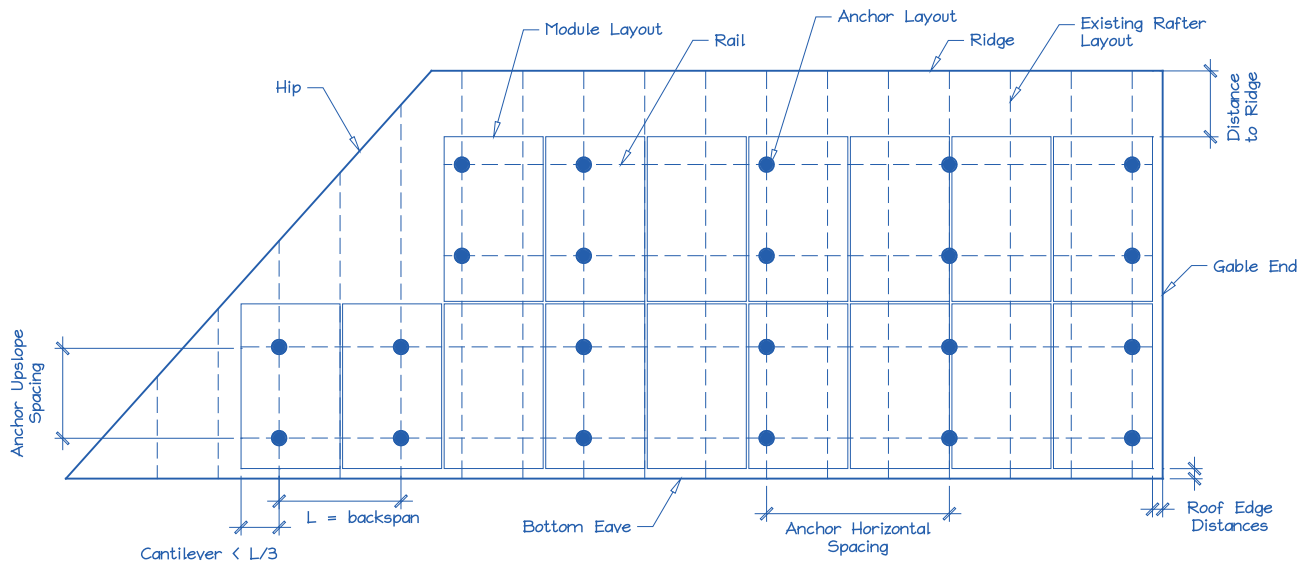


**Figure 1. Roof Visual Structural Review (Contractor's Site Audit) of Existing Conditions.**

The site auditor should verify the following:

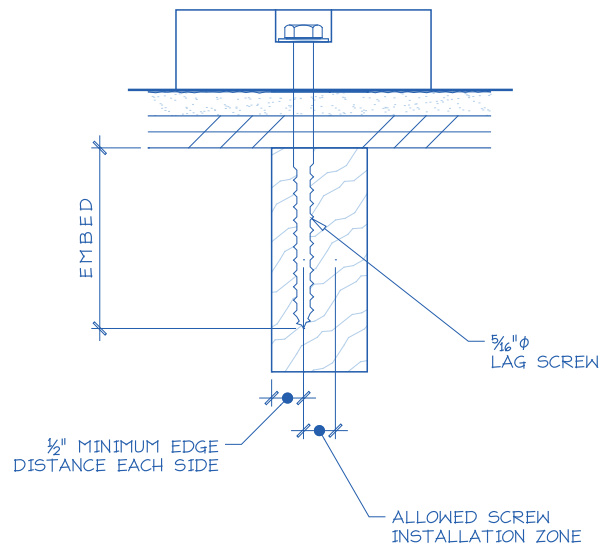
1. No visually apparent disallowed rafter holes, notches and truss modifications as shown above.
2. No visually apparent structural decay or un-repaired fire damage.
3. Roof sag, measured in inches, is not more than the rafter or ridge beam length in feet divided by 20.

Rafters that fail the above criteria should not be used to support solar arrays unless they are first strengthened.

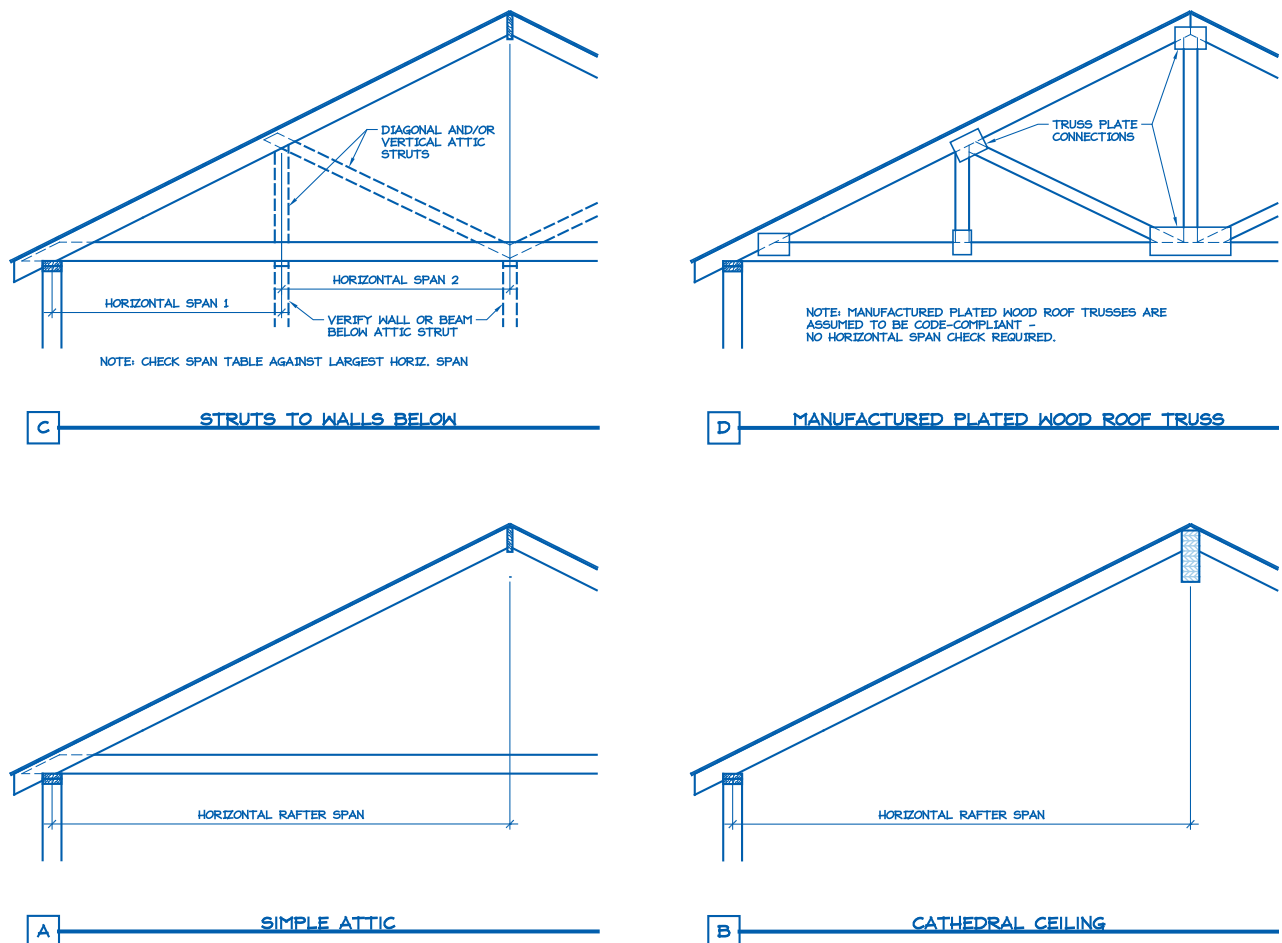


**Figure 2. Sample Solar Panel Array and Anchor Layout Diagram (Roof Plan).**





**Figure 3. Typical Anchor with Lag Screw Attachment.**



**Figure 4. Definition of Rafter Horizontal Span.**

(Attach Figure 4 ONLY if the Optional Additional Rafter Span Check is added to the list of Structural Criteria)



## TOOLKIT DOCUMENT #6

[ADMINISTERING AGENCIES] Agreement Number: [NUMBER]

# MOU (Memorandum of Understanding) Regarding Solar Photovoltaic Plan Review and Inspection Services

This memorandum of understanding (MOU) formalizes coordination by local agencies regarding plan review and inspection services for solar photovoltaic installations.

*Note: Language in CAPS below indicates where local jurisdiction needs to provide information specific to the jurisdiction.*

This agreement is made at [LOCATION], California, by and between [FIRE AUTHORITY] and [BUILDING AUTHORITY].

### Recitals

WHEREAS, Sections 1.8.2.1 and 1.11.2 of the currently adopted version of the California Residential Code charges the local building authority and local fire authority with the responsibility of enforcement of residential building standards within the jurisdictions boundaries; and

WHEREAS, the [FIRE AUTHORITY] desires the [BUILDING AUTHORITY] to perform these services on its behalf subject to the following terms and conditions; and

WHEREAS, the [BUILDING AUTHORITY] is willing to perform said services provided it can charge and collect all fees for services rendered subject to the following terms and conditions.

### Services Agreement

- 1. Services** The [BUILDING AUTHORITY] shall conduct the services outlined in Exhibit A for the review of plans and inspection of solar photo voltaic systems within the [JURISDICTION] boundaries during the term of this agreement.
- 2. Term** The term of this agreement shall commence on \_\_\_\_\_, and shall be for \_\_\_\_\_. The term of this agreement may be extended upon agreement of [FIRE AUTHORITY] and the [BUILDING AUTHORITY].
- 3. Plan Review and Inspection Fees/Charges** The [BUILDING AUTHORITY] shall charge and collect its standard fees for plan review and inspection. The [FIRE AUTHORITY] shall receive no portion of said fees.
- 4. Facilities, Equipment and Other Materials** The [BUILDING AUTHORITY] shall, at its cost and expense, furnish all facilities, equipment and other materials that may be required for furnishing services pursuant to this agreement.
- 5. No Agency** No agency relationship is created by this agreement.
- 6. Records** The [BUILDING AUTHORITY] shall maintain, at all times, complete detailed records with regard to work performed under this agreement. The [FIRE AUTHORITY] shall have the right to inspect said records with reasonable notice to the county. All such records shall be maintained by the [BUILDING AUTHORITY] in its [OFFICE LOCATION] offices.

**7. Insurance** It is agreed that each party shall maintain at all times during the performance of this agreement insurance coverage or self-insurance in the amount of not less than [DOLLAR AMOUNT] to cover all of its operations, including general liability, automobile liability and workers' compensation.

**8. Indemnification** The [BUILDING AUTHORITY] shall indemnify, defend and hold harmless the [FIRE AUTHORITY] and its elected and appointed officials, employees, agents and contractors (collectively, "indemnities") from and against any and all loss, liability, cost, claim, cause of action, demand, judgment, expense, (including reasonable attorneys' fees) or damage (collectively "claims") arising from or related to [BUILDING AUTHORITY] performance or failure to perform its obligations pursuant to this agreement, except to the extent the same are attributable to the gross negligence or willful misconduct of the Indemnities. The [FIRE AUTHORITY] shall indemnify, defend and hold harmless the [BUILDING AUTHORITY] and its elected and appointed officials, employees, agents and contractors (collectively, "building indemnities") from and against any and all claims arising from or related to the [BUILDING AUTHORITY] performance or failure to perform its obligations pursuant to this agreement, except to the extent the same are attributable to the gross negligence or willful misconduct of building indemnities.

**9. Entirety of Agreement – Modifications** This agreement contains the entire agreement of the [FIRE AUTHORITY] and the [BUILDING AUTHORITY] with respect to the subject matter hereof, and no other agreement, statement, or promise made by any party, or to any employee, officer or agent of any party, which is not contained in this agreement, shall be binding or valid.

**10. Early Termination** Either party may serve notice of early termination of this agreement pursuant to Section 11 below. Upon termination of this agreement, the [FIRE AUTHORITY] shall take over all plan review and inspections covered by this agreement. For plan review and/or inspections that are in process at the time of termination, the county shall complete these.

**11. Notice** Any notice or demand desired or required to be given hereunder shall be in writing and deemed given when personally delivered or deposited in the mail, postage prepaid and addressed to the parties as follows:

**[BUILDING AUTHORITY ADDRESS]**

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Phone: \_\_\_\_\_

Fax: \_\_\_\_\_

**[FIRE AUTHORITY ADDRESS]**

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Phone: \_\_\_\_\_

Fax: \_\_\_\_\_

Any notice so delivered personally shall be deemed to be received on the date of delivery, and any notice mailed shall be deemed to be received five (5) days after the date on which it was mailed.

**[BUILDING AUTHORITY ADDRESS]**

By: \_\_\_\_\_

Date: \_\_\_\_\_

**[FIRE AUTHORITY ADDRESS]**

By: \_\_\_\_\_

Date: \_\_\_\_\_

Exhibit A – Scope of Work



## TOOLKIT DOCUMENT #7

# Inspection Guide for PV Systems in One- and Two-Family Dwellings

(For Rooftop Photovoltaic Systems meeting the Standard Plan)

This document has two sections. Neither section is all-inclusive as this document is simply a tool to aid the inspection process.

**SECTION 1 – Field Inspection Guide:** The purpose of this section is to give the field inspector a single-page reminder of the most important items in a field inspection.

**SECTION 2- Comprehensive Reference:** This reference details items that may be relevant in the field inspection of rooftop PV systems that comply with the comprehensive or simplified versions of the “Solar PV Standard Plan.” Not all items outlined in this section are relevant to each PV system. This inspection reference details most of the issues that relate to the PV system during the inspection process.

All California Electrical Code (CEC), California Residential Code (CRC), California Building Code (CBC) and California Fire Code (CFC) references are to the 2013 versions unless otherwise noted.

## SECTION 1: Field Inspection Guide for Rooftop Photovoltaic (PV) Systems Standard Plan

Make sure all PV system AC/DC disconnects and circuit breakers are in the open position and verify the following.

1. All work done in a neat and workmanlike manner (CEC 110.12).
2. PV module model number, quantity and location according to the approved plan.
3. Array mounting system and structural connections according to the approved plan.
4. Roof penetrations flashed/sealed according to the approved plan.
5. Array exposed conductors are properly secured, supported and routed to prevent physical damage.
6. Conduit installation according to CRC R331.3 and CEC 690.4(F).
7. Firefighter access according to approved plan.
8. Roof-mounted PV systems have the required fire classification (CBC 1505.9 or CRC R902.4).
9. Grounding/bonding of rack and modules according to the manufacturer's installation instructions that are approved and listed.
10. Equipment installed, listed and labeled according to the approved plan (e.g., PV modules, DC/DC converters, combiners, inverters, disconnects, load centers and electrical service equipment).
11. For grid-connected systems, inverter is marked "utility interactive."
12. For ungrounded inverters, installation complies with CEC 690.35 requirements.
13. Conductors, cables and conduit types, sizes and markings according to the approved plan.
14. Overcurrent devices are the type and size according to the approved plan.
15. Disconnects according to the approved plan and properly located as required by the CEC.
16. Inverter output circuit breaker is located at opposite end of bus from utility supply at load center and/or service panelboard (not required if the sum of the inverter and utility supply circuit breakers is less than or equal to the panelboard bus rating).
17. PV system markings, labels and signs according to the approved plan.
18. Connection of the PV system to the grounding electrode system according to the approved plan.
19. Access and working space for operation and maintenance of PV equipment such as inverters, disconnecting means and panelboards (not required for PV modules) (CEC 110.26).

## SECTION 2: Comprehensive Inspection Reference

### GENERAL

1. Module manufacturer, make, model and number of modules match the approved plans. (CBC 107.4)
2. DC PV modules are listed to UL 1703. Ac modules are listed to UL 1703 and UL 1741. (CEC 110.3, 690.4 & CBC 1509.7.4 & CRC R908.1.5)
3. Modules are attached to the mounting structure according to the manufacturer's instructions and the approved plans. (CEC 110.3[B], CBC 107.4 & CRC R908.1.4)
4. Roof penetrations/attachments are properly flashed. (CBC Chapter 15 & 2012 CRC Chapter 9)
5. Rooftop systems are designed in accordance with the CBC. (CBC 1509.7 & CRC R908.1)
6. Roof access points, paths and clearances need to comply with the CFC. (CFC 605.11.3.1 - 605.11.3.3, CRC R331.4.1 through R331.4.2.4)
7. PV installation shall comply with requirements of the standard plan.
8. PV system operating at 80 volts or greater shall be protected by a listed DC arc fault protection. (CEC 690.11)
9. All work done in a neat and workmanlike manner. (CEC 110.12)

### ELECTRICAL REQUIREMENTS

#### PV Array Configuration

10. DC modules are properly marked and labeled. (CEC 110.3, 690.4[D] & 690.51)
11. AC modules are properly marked and labeled. (CEC 110.3, 690.4[D] & 690.52)
12. PV modules are in good condition (i.e., no broken glass or cells, no discoloration, frames not damaged, etc.). (CEC 110.12[B])
13. Residential one and two family dwelling limited to maximum PV system voltage of 600 volts. (CEC 690.7)

#### Bonding and grounding

14. A complete grounding electrode system is installed. (CEC 690.47[A] & [B])
15. Modules are bonded and grounded in accordance with the manufacturer's installation instructions, that are listed and approved, using the supplied hardware or listed equipment specified in the instructions and identified for the environment. (CEC 690.43 & 110.3[B])
16. Racking systems are bonded and grounded in accordance with the manufacturer's installation instructions, that are listed and approved, using the supplied hardware or listed equipment specified in the instructions and identified for the environment. (CEC 690.43 & 110.3[B])
17. Properly sized equipment grounding conductor is routed with the circuit conductors. (CEC 690.45, 250.134[B] & 300.3[B])
18. AC and DC grounding electrode conductors are properly connected as required by code. Separate electrodes, if used, are bonded together. (CEC 690.47, 250.50 & 250.58)

19. Bonding fittings are used on concentric/eccentric knockouts with metal conduits for circuits over 250 volts. (CEC 250.97) (see also exceptions 1 through 4)
20. Bonding fittings are used for ferrous metal conduits enclosing grounding electrode conductors. (CEC 250.64[E])

### **PV Source/output Circuit Conductor Management**

21. Cables are secured by staples, cable ties, straps, hangers or similar fittings at intervals that do not exceed 4.5 feet. (CEC 334.30 & 338.12[A][3])
22. Cables are secured within 12 inches of each box, cabinet, conduit body or other termination. (CEC 334.30 & 338.12[A][3])
23. Cable closely follows the surface of the building finish or of the running boards. (CEC 690.4[F] & CFC 605.11.2 & CRC R331.3) NOTE: see Section 12 below for additional requirements on routing of conductors for fire fighter safety concerns.
24. Exposed single conductors, where subject to physical damage, are protected. (CEC 230.50[B] & 300.5[D])
25. Exposed single conductors used for ungrounded systems are listed and identified as “PV wire.” (CEC 690.35[D][3]) For other conductor requirements for ungrounded systems, see CEC 690.35(D).

### **Conductors**

26. Exposed single conductor wiring is a 90° C, wet rated and sunlight resistant type USE-2 or approved/listed PV wire. (CEC 690.31[B] & 110.2) If the wiring is in a conduit, it is 90° C, wet rated type RHW-2, THWN-2, or XHHW-2. (CEC 310.15)
27. Conductor insulation is rated at 90° C to allow for operation at 70° C+ near modules. (CEC 310.15)
28. Grounded conductor is identified white or gray. (CEC 200.6)
29. Open conductors are supported, secured and protected. (CEC 338.12[A][3] & 334.30)
30. Conductors are not in contact with the roof surface. (CEC 334.30)
31. DC conductors inside a building are in a metal raceway or MC metal-clad cable that complies with 250.118(10), or metal enclosures. (CEC 690.31[E])
32. DC wiring methods shall not be installed within 25cm (10”) of the roof decking or sheathing except where directly below the roof surface covered by the PV modules and associated equipment. (CEC 690.31[E][1])
33. If more than one nominal voltage system conductor is installed in the raceway, permanent identification and labeling is required. (CEC 200.6[D] & 210.5[C])
34. For underground conductor installations, the burial depth is appropriate and warning tape is in place. (CEC 300.5[D][3] & Table 300.5)
35. Aluminum is not placed in direct contact with concrete. (CEC 250.120[B] & 110.11)
36. PV circuit and premises wiring is separated. (CEC 690.4[B])
37. PV system conductors shall be grouped and identified. (CEC 690.4[B])



## Overcurrent Protection

- 38. Overcurrent protection devices (OCPD) in the DC circuits are listed for DC operation. (CEC 110.3[A], [B] & 690.9[D])
- 39. Overcurrent protection devices shall be provided per the approved plans. (CEC 690.9[A])
- 40. Combiner box is listed to UL 1741.
- 41. PV output OCPD is located at the opposite end of the bus from the feeder connection, unless otherwise approved. (CEC 705.12[D][7])

## Electrical Connections

- 42. Crimp terminals are listed and installed using a listed tool specified for use in crimping those specific crimps. (CEC 110.3[B] & 110.14)
- 43. Pressure terminals are listed for the environment and tightened to manufacturer recommended torque specifications. (CEC 110.11, 110.3[B] & 110.14)
- 44. Connectors are listed for the voltage of the system and have appropriate temperature and ampere ratings. (CEC 110.3[B] & 110.14)
- 45. Twist-on wire connectors are listed for the environment (i.e., wet, damp, direct burial, etc.) and installed per manufacturer's instructions. (CEC 110.11, 110.3[B], 110.14 & 300.5[B])
- 46. Power distribution blocks are listed. (CEC 690.4 & 2011 NEC 314.28[E])
- 47. Terminals containing more than one conductor are listed for multiple conductors. (CEC 110.14[A] & 110.3[B])
- 48. Connectors and terminals used other than class B and C stranded conductors (fine stranded conductors) are listed and identified for use with specific conductor class or classes.. (CEC 110.14[A] & 110.3[B])
- 49. Connectors that are readily accessible and operating at over 30 volts require a tool for opening. (CEC 690.33[C])
- 50. All connectors are fully engages, tight and secure. (CEC 110.3[B] & 110.12)
- 51. Wiring and connections of inverters, PV source circuits, etc., and all interconnections are performed by qualified personnel. (CEC 690.4[E])

## Disconnects

- 52. Disconnects used in DC circuits are listed for DC operation and located as allowed by the AHJ. (CEC 110.3)
- 53. Disconnects are installed for all current carrying conductors of the PV source. (CEC 690.13 - 690.14 & 690.35)
- 54. Disconnects are installed for the PV equipment. NOTE: For inverters and other equipment that are energized from more than one source, the disconnecting means must be grouped and identified per AHJ's requirements. (CEC 690.15)
- 55. Disconnects and overcurrent protection are installed for all ungrounded conductors in ungrounded PV power systems. (CEC 240.15 & 690.35)
- 56. Where connectors are used as disconnecting means, they shall be used in accordance with CEC 690.33.E (CEC 690.33.E & 690.17)

## Inverters

- 57. Inverters are listed to UL 1741. (CEC 690.4[D]) NOTE: grid-tied system inverters need to be identified for use in interactive power systems.
- 58. Point of connection is at a dedicated breaker or disconnect. (CEC 705.12[D][1])
- 59. Where a back-fed breaker is used as a utility interconnection means, the breaker is not marked “line and load.” (CEC 110.3[B], 705.12[D][5])
- 60. Listed AC and DC disconnects and overcurrent protection are grouped and identified. (CEC 690.15)
- 61. No multiwire branch circuits are installed where single 120-volt inverters are connected to 120/240-volt load centers. (CEC 690.10[C])
- 62. The barrier is reinstalled between the AC, DC wiring and communication wires. (CEC 110.3[B] & 110.27)

## Signs and Labels

- 63. All interior and exterior DC conduit, enclosures, raceways, cable assemblies, junction boxes, combiner boxes and disconnects are marked. (CFC 605.11.1, CEC 690.31[E][3], CEC 690.31[E][4], 690.17 & 690.53 & CRC R331.2)
- 64. The markings on the conduits, raceways and cable assemblies are every 10 feet, within one foot of all turns or bends and within one foot above and below all penetrations of roof/ceiling assemblies, walls and barriers. (CFC 605.11.1.4, CRC R331.2.4, CEC 690.31[E][3] & CEC 690.31[E][4])
- 65. Marking is placed adjacent to the main service disconnect in a location clearly visible from where the disconnect is operated. (CFC 605.11.1.3 & CRC R331.2.3)
- 66. The markings say “WARNING: PHOTOVOLTAIC POWER SOURCE” and have 3/8-inch (9.5 mm) minimum-sized white letters on a red background. The signs are made of reflective weather resistant material. (CFC 605.11.1.1, 605.11.1.2 & CRC R331.2.1 - R331.2.2 & CEC 690.31[E)][3] & 690.31[E][4])
- 67. Where PV circuits are embedded in built-up, laminate or membrane roofing materials in roof areas not covered by PV modules and associated equipment, the location of circuits shall be clearly marked. (CEC 690.4[F])
- 68. Required labels shall be permanent and suitable for the environment. The following labels are required as applicable.

Table 1. Signage Requirements for PV systems

Code Section	Location of Label	Text
CEC 690.5(C)	Utility-interactive inverter & battery enclosure	WARNING: ELECTRIC SHOCK HAZARD IF A GROUND FAULT IS INDICATED, NORMALLY GROUNDED CONDUCTORS MAY BE UNGROUNDED AND ENERGIZED
CEC 690.35(F)	All enclosures with ungrounded circuits or devices which are energized and may be exposed during service	WARNING: ELECTRIC SHOCK HAZARD. THE DC CONDUCTORS OF THIS PHOTOVOLTAIC SYSTEM ARE UNGROUNDED AND MAY BE ENERGIZED.
CEC 690.14(C)(1)	On the main service when DC wiring is run through the building and the DC disconnect is located other than at the main service	DC DISCONNECT IS LOCATED....
CEC 690.14(C)(2)	On the AC and DC disconnects	PHOTOVOLTAIC SYSTEM DISCONNECT
CEC 690.53	On the DC disconnects	OPERATING CURRENT _____ OPERATING VOLTAGE _____ MAXIMUM SYSTEM VOLTAGE ____ SHORT CIRCUIT CURRENT _____
CEC 690.54	At interactive points of interconnection, usually the main service	RATED AC OUTPUT CURRENT _____ AMPS  NORMAL OPERATING AC VOLTAGE _____ VOLTS
CEC 690.56(B)/ 690.14(D)(4), 705.10 2011 CEC 690.4(H)	At the electrical service and at the PV inverter if not at the same location	A directory providing the location of the service disconnecting means and the photovoltaic system disconnecting means
CEC 690.17	On the DC disconnect and on any equipment that stays energized in the off position from the PV supply	WARNING! ELECTRIC SHOCK HAZARD. DO NOT TOUCH TERMINALS. TERMINALS ON BOTH THE LINE AND LOAD SIDES MAY BE ENERGIZED IN THE OPEN POSITION.
CEC 705.12 (D)(7)	Inverter output OCPD	WARNING: INVERTER OUTPUT CONNECTION DO NOT RELOCATE THIS OVERCURRENT DEVICE.
CFC 605.11.1.4, CEC 690.31(E)(3), 690.31(E)(4), CRC R331.2.4	On conduit, raceways and enclosures, mark every 10 feet, at turns, above/ below penetrations	WARNING: PHOTOVOLTAIC POWER SOURCE.  <i>Note: This label shall have a red background with white lettering</i>

## FIRE SAFETY REQUIREMENTS

1. Rooftop-mounted PV panels and modules have the proper fire classification rating. (CBC 1509.7.2 & CRC R908.1.2)
2. Conduit, wiring systems and raceways for photovoltaic circuits are located as close as possible to the ridge, hip or valley and from the hip or valley as directly as possible to an outside wall to reduce trip hazards and maximize ventilation opportunities. (CFC 605.11.2 & CRC R331.3)
3. Conduit runs between sub arrays and to DC combiner boxes are installed in a manner that minimizes total amount of conduit on the roof by taking the shortest path from the array to the DC combiner box. (CFC 605.11.2 & CRC R331.3)
4. DC Combiner Boxes are located so that conduit runs are minimized in the pathways between arrays. (CFC 605.11.2 & CRC 331.3)
5. DC wiring in enclosed spaces in buildings is installed in metallic conduit or raceways. Conduit runs along the bottom of load bearing members. (CFC 605.11.2 & CEC 690.4[F] & CRC R331.3)
6. All roofs have an access point that does not place ground ladders over openings such as windows or doors, are located at strong points of building construction, and in locations where the access point does not conflict with overhead obstructions such as tree limbs, wires, or signs. (CFC 605.11.3.1 & CRC R331.3)
7. Roofs with slopes greater than 2:12 have solar panel layouts with access pathways that comply with approved roof plan that meet the following criteria: (some exceptions apply, see diagrams in the California Solar Permitting Guidebook)
  - A. Hip Roofs: Panels/modules are located so that there is a 3-foot wide clear access pathway from the eave to the ridge on each roof slope where panels/modules are located. ( CFC 605.11.3.2.1 & CRC R331.4.2.1)
  - B. Hips and Valleys: If panels/modules are placed on both sides of a hip or valley they are located no closer than 18 inches to a hip or valley. If the panels are located on only one side of a hip or valley that is of equal length, then the panels can be placed directly adjacent to the hip or valley. (CFC 605.11.3.2.3 & CRC R 331.4.2.3)
  - C. Single Ridges: Panels/modules are located so that there are two 3-foot wide access pathways from the eave to the ridge on each roof slope where there are panels/modules installed. (CFC 605.11.3.2.2 & CRC R331.4.2.2)
  - D. Ridges: Panels/modules are located no higher than 3 feet from the top of the ridge in order to allow for fire department smoke ventilation operations. (CFC605.11.3.2.4 & CRC R331.4.2.4)
  - E. Access pathways are located at a structurally sound location capable of supporting the load of fire fighters accessing the roof. (CFC 605.11.3.2.1 & CRC R331.4.2.1)

## STRUCTURAL AND OTHER CODE REQUIREMENTS

List the structural requirements by the Authority Having Jurisdiction.

## RECOMMENDATIONS FOR EXPEDITED SOLAR THERMAL INSTALLATIONS

### THERMAL TOOLKIT: STREAMLINED SOLAR THERMAL TEMPLATES

Under development for next edition (2015)

## Understanding the Code

### Code Requirements for Solar Photovoltaic (PV) Systems for One and Two-Family Dwellings

**Based on the 2013 California Building Code (CBC), the 2013 California Residential Code (CRC) and the California Energy Code (CEC)**

#### PURPOSE

The purpose of this information bulletin is to clarify requirements of the State Building Standards Codes (Title 24) that pertain to solar PV installations on one- and two-family dwellings. This bulletin can serve as a reference guide for permit applicants and enforcing agencies to clarify how state code requirements are practically applied in the local jurisdiction. It is intended to minimize permitting uncertainty and differing interpretation regarding specific code requirements for solar PV installations. This information bulletin primarily clarifies requirements pertaining to the California Building Code and the California Residential Code, since these codes in their current form require significant local interpretation. This information bulletin does not address local regulations.

The implementation of uniform standards to achieve the timely and cost-effective installation is consistent with the California Solar Rights Act that views solar installation as a matter of statewide concern and prohibits local jurisdictions from adopting unreasonable barriers to the installation of solar energy systems (CA Government Code Section 65850.5).

#### PART I: BUILDING AND RESIDENTIAL CODE REQUIREMENTS

##### 1. Definitions

- 1.1 Solar photovoltaic system:** The total components and subsystems that, in combination, convert solar energy into electric energy suitable for connection to utilization load (CEC Article 100 and Article 690.2)
- 1.2 Module:** A complete, environmentally protected unit consisting of solar cells, optics and other components, exclusive of tracker, designed to generate DC power when exposed to sunlight (CEC Article 690.2)
- 1.3 Panel:** A collection of modules mechanically fastened together, wired and designed to provide a field-installable unit (CEC Article 690.2)
- 1.4 Building integrated photovoltaics (BIPV):** Photovoltaic cells, devices, modules or modular materials that are integrated into the outer surface or structure of a building and serve as the outer protective surface of the building (CEC Article 690.2)
- 1.5 Alternating-current (AC) module (alternating-current photovoltaic module):** A complete, environmentally protected unit consisting of solar cells, optics, inverter and other components, exclusive of tracker, designed to generate AC power when exposed to sunlight (CEC Article 690.2)
- 1.6 Photovoltaic modules/shingles:** A roof covering composed of flat-plate photovoltaic modules fabricated into shingles. (CRC Chapter 2, Section 202)

## 2. Solar Ready Requirements

The California Energy Code (Section 110.10) contains mandatory requirements for solar readiness in certain newly constructed single-family and multifamily residences. Although these requirements apply to new construction only, these requirements are briefly outlined for informational purposes.

- 2.1 Solar Zone:** Newly constructed homes are required to have an area on the roof or overhang available for future solar installations that meets certain requirements:
  - 2.1.1 Minimum area:** The solar zone must be a minimum of 250 square feet, subject to certain exceptions outlined in the code (Section 110.10.b.1.[b]).
  - 2.1.2 Orientation:** All sections of the solar zone located on steep-sloped roofs (defined as a roof whose pitch is greater than 2:12) shall be oriented between 110 degrees and 270 degrees of true north.
  - 2.1.3 Shading:** The solar zone must be free of obstructions and may not be shaded by certain obstructions outside the zone (Section 110.10.b.3).
- 2.2 Documentation:** Construction documentation must clearly show the dead and live load for the solar zone. Collateral loads for future solar energy systems do not need to be shown on the construction documents. (Section 110.10[b][4])

Construction documentation must also show pathways for electrical or plumbing interconnections. This includes a location for inverters and metering equipment and a pathway for routing of conduit from the solar zone to the point of interconnection with the electrical service. For single-family residences, the point of interconnection will be the main service panel. (Section 110.10[c])

This information must also be provided to the occupant. (Section 110.10[d])

- 2.3 Main Electrical Service Panel:** The main electrical service panel shall have a minimum bus bar rating of 200 amps and a marked reserved space for future solar electric installation. (Section 110.10[e])

## 3. Structural Requirements

### 3.1 PV systems positively anchored to the building

- 3.1.1 Exemption from structural calculations:** The building official may waive the requirement for structural calculations for solar PV installations on top of existing roofs if the official can readily determine that the additional weight of the new solar PV system on the roof does not affect the structural integrity of the building. Some jurisdictions may choose to use the provided Structural Criteria for Flush-Mounted PV and Thermal Systems as a prescriptive approach for when structural calculations can be waived, however, that varies by the enforcing agency.
- 3.1.2 Structural calculations for non-prequalified systems:** When structural calculations are required, calculations shall demonstrate that the primary structure will support the additional vertical and lateral loads from the panels and related equipment.

*Note 1: See the Appendix for additional guidance on structural calculations.*

- 3.1.2.1 Roof dead load:** The weight of solar PV systems shall be considered as dead load in the design of the structure (CBC Section 1606, CRC Section R301.4).

For installation of conventional (not BIPV) solar PV panels on existing roofs, roof live load is not imposed where PV panels occur, provided the clear distance under the panels to top of the roof is less than 42" and provided the roof design is adequate for the concentrated loads from the solar PV panel support frames. See Structural Engineers Association of California (SEAOC) Solar PV-3 Live Load document for additional information.

When the roof live load is allowed to be reduced, consideration should be given to the possibility that a roof may have more than one layer of existing roofing. For pre-1960 wood-framed construction, structural calculations may be based on actual (field-measured) lumber sizes (typically greater than modern lumber sizes), and Douglas fir Grade 1 may be assumed unless field conditions indicate otherwise.

- 3.1.2.2 Roof live load: Roof live load is not considered in areas covered by roof-mounted panels where there is less than 42" clear under the panels. Roof surfaces not covered by solar PV panels shall be designed for the roof live load (CBC Section 1607, CRC R301.6).

The building official may determine that live load need not be considered for solar PV panels and associated supporting members that are built on grade. Such interpretation is generally based on the assumption that the solar PV panels will not be stepped on or used by anyone to support any live load

- 3.1.2.3 Wind design: Calculations shall demonstrate that the solar PV panels and associated supporting members are designed to resist wind loads. For ballasted PV systems, see Section 2.2 of this information bulletin (CBC Section 1609, CRC R301.2.1).

Photovoltaic modules/shingle packaging shall bear a label to indicate compliance with the procedures in ASTM D 3161 and the required classification from CRC Table R905.2.4.1(2) Classification of Asphalt Shingles Per ASTM D 3161 (CRC Section R905.16.3).

For ballasted PV systems, see Code Requirements for Solar Photovoltaic (PV) Systems – General, Section 2.2 of this information

- 3.1.2.4 Seismic design: Calculations shall demonstrate that the solar PV panels and associated supporting members are designed to resist earthquake loads.
- 3.1.2.5 For wood construction, supports shall be attached with fasteners of sufficient length and size to achieve minimum required embedment into solid wood taking into consideration the plywood and multiple layers of roofing that may exist, unless otherwise approved by the enforcing agency (CRC Section R301.1.3).
- 3.1.2.6 Snow load: When applicable, include snow loads and loads from snowdrift (CBC Section 1608, CRC R301.2.3).
- 3.1.2.7 Requirements for load combinations: The applicable load combinations in CBC 1605 may be applied to all loading conditions, including evaluating the effects of dead load to counteract wind uplift.
- 3.1.2.8 The Division of the State Architect (DSA) Interpretation of Regulations Article 16.8, intended for public schools, provides useful code interpretation guidance to non-DSA code officials regarding several types of solar systems, both ground- and roof-mounted.

**3.2 Structural strength of PV panels:** The structural strength of solar PV panels is not addressed in the code.

UL 1703, Third Edition, published March 15, 2002, requires that solar PV panels be tested to withstand a superimposed load of 30 PSF. Therefore, all solar PV panels that are listed per UL 1703 are considered to meet this requirement.



When used as a building component and depending on the load values that the solar PV panels are subjected to, the enforcing agency may require a test report from an agency recognized by the enforcing agency showing the strength of the solar PV panels.

- 3.3 **Condition of existing roof:** Solar PV systems shall not be installed on an existing roof that is deteriorated to the point where it is not adequate as a base (this interpretation is based on CRC R907).
- 3.4 **Premanufactured support systems:** Premanufactured support systems must support the PV system and allow the system to stay attached to the structure when exposed to wind, snow or seismic load. Compliance of the PV support system with appropriate building codes is accomplished through a design specified by a licensed engineer or architect or through research reports from approved sources as addressed in CBC Section 1703.4.2. Solar support component manufacturers often provide structural engineering design guidelines, worksheets, code compliance reports and Internet website calculators. The manufacturer's engineering guidelines are intended to ensure that the PV system above the roof and its connection to the roof assembly are code compliant. Additional requirements may be imposed by the enforcing agency (CRC Section R301.1.3).

## 4. Fire Safety Provisions

### 4.1 Fire/roof classification of photovoltaic (PV) panels

#### 4.1.1 Solar PV panels installed on top of a building's roof structure

- 4.1.1.1 Solar PV systems installed on top of a roof where the space between the solar PV panels and the roof has no use and no potential use are generally considered equipment. These solar PV panels/models shall comply with the minimum fire/roof classification requirements or roof covering as required by the current CRC Section R902.4

For installations in State Responsibility Areas (SRA) or High Fire Hazard Severity Zones, additional provisions adopted by the local enforcing agency may be applicable. Check with the enforcing agency for any additional requirements.

- 4.1.1.2 Solar PV panels used as roofing on an independent (stand-alone) structure: Solar PV panels/modules that are designed to be on the roof and span to structural supports, and have a use or occupancy underneath, shall comply with the minimum fire/roof classification requirements for roof covering as required by CRC Section R902.4. An example of this type of installation is a carport structure having solar PV panels as the roof.
- 4.1.1.3 Solar PV panels installed as a part of a building's roof structure: Solar PV panels installed as integrated roofing material shall comply with the minimum fire/roof classification requirements for roof covering as required by the current CRC Section R902. An example of this type of installation is PV modules integrated into the roofing shingles (BIPV systems).

- 4.1.2 Solar PV systems installed on grade: Solar PV panels that are part of a stand-alone, ground-mounted solar PV panel structure, with no use and no potential use underneath are generally considered equipment and therefore the fire/roof classification requirements would not apply. The solar PV panels will require a clear, brush-free area of 10 feet around the installation (based on the definition of a roof assembly in CRC Section R202 and R331.5).

- 4.2 **Area, height and story limitations:** Where there is a use between the solar PV panels and the roof/grade underneath, adding such solar PV structures may constitute additional floor area, story and/or height. Solar PV panels supported by framing that has sufficient uniformly distributed and

unobstructed openings throughout the top of the array (horizontal plane) to allow heat and gases to escape, as determined by the enforcing agency, are generally considered equipment.

- 4.3 Location from property line and adjacent buildings:** Solar PV panels and associated framing, with no use and no potential use between the panels and the grade underneath, are generally treated as equipment. When not considered equipment, they may be considered a structure and shall be located and protected based upon the code required fire separation distance to property lines and adjacent buildings. (CRC Section R302.1)
- 4.4 Roof Access and Pathways:** The installation of solar PV systems must allow for fire department smoke ventilation operations. Roof access point, clear access pathways, solar PV systems spacing and layout must comply with the requirements outlined in CRC Section R331.
- 4.5 Markings:** The solar PV systems must be marked or labeled in accordance with CRC Section R331 and CEC Article 690. Markings are to be placed every 10 feet and in other areas as required.
- 4.6 Other fire safety requirements or guidelines:** The installation of solar PV systems may be subject to additional provisions adopted by the local enforcing agency. Check with the enforcing agency for additional requirements.

**5. Roof drainage:** Roof-mounted solar PV systems shall not cause excessive sagging of the roof that results in water ponding. They shall also not block or impede drainage flows to roof drains and scuppers. (CRC Section R903.4; CPC Section 1101.11 also applies)

**6. Roof penetrations:** All roof penetrations shall be sealed using approved methods and products to prevent water leakage. Such methods include but not limited to caulking, roof jacks and sheet metal flashing. (CRC Section R903.2)

**7. Skylights:** Solar PV panels shall maintain a minimum clearance around the perimeter of skylights as not to interfere with the function of the skylight, as determined by the enforcing agency

**8. Plumbing vent, mechanical equipment and mechanical exhaust terminations:** Solar PV panels shall not obstruct or interfere with the function of plumbing vents or mechanical equipment. (CPC Sections 901.1 & 906, CMC Section 304)

## **PART II: ELECTRICAL CODE REQUIREMENTS**

- 1. Product listing (certification):** The solar PV panel/module and other equipment used in the PV system shall be listed/certified by a nationally recognized listing/certification agency in accordance with the applicable standards.
- 2. Installation:** The installation of the solar PV system must conform to the requirements of the California Electrical Code (CEC).
- 3. Signage:** Signage must conform to the requirements of the CEC. Signage requirements and location of certain equipment for solar PV systems may be subject to additional provisions adopted by the enforcing agency.

## **PART III: LOCAL ELECTRIC UTILITY REQUIREMENTS**

Check with the local utility for any incentives, interconnection, operating and metering requirements.

## Code Requirements for PV on Buildings other than One- and Two- Family Dwellings

### Based on the 2013 California Building Code (CBC), California Residential Code (CRC) and California Energy Code (CEC)

#### PURPOSE

The purpose of this information bulletin is to clarify requirements of the State Building Standards Codes (Title 24) that pertain to solar PV installations. This bulletin can serve as a reference guide for permit applicants and enforcing agencies to clarify how state code requirements are practically applied in the local jurisdiction. It is intended to minimize permitting uncertainty and differing interpretation regarding specific code requirements for solar PV installations. This information bulletin primarily clarifies requirements pertaining to the California Building Code and the California Residential Code, since these codes in their current form require significant local interpretation. This information bulletin does not address local regulations.

The implementation of uniform standards to achieve the timely and cost-effective installation is consistent with the California Solar Rights Act that views solar installation as a matter of statewide concern and prohibits local jurisdictions from adopting unreasonable barriers to the installation of solar energy systems (CA Government Code Section 65850.5).

#### PART I: BUILDING AND RESIDENTIAL CODE REQUIREMENTS

##### 1. Definitions

- 1.1 Solar photovoltaic (PV) system:** The total components and subsystems that, in combination, convert solar energy into electric energy suitable for connection to utilization load (CEC Article 100 and Article 690.2)
- 1.2 Module:** A complete, environmentally protected unit consisting of solar cells, optics and other components, exclusive of tracker, designed to generate DC power when exposed to sunlight (CEC Article 690.2)
- 1.3 Panel:** A collection of modules mechanically fastened together, wired and designed to provide a field-installable unit (CEC Article 690.2)  
  
Building integrated photovoltaics (BIPV): Photovoltaic cells, devices, modules or modular materials that are integrated into the outer surface or structure of a building and serve as the outer protective surface of the building (CEC Article 690.2).
  - 1.4.1 Photovoltaic modules/shingles:** A roof covering composed of flat-plate photovoltaic modules fabricated in sheets that resemble three-tab composite shingles (CBC Chapter 2, Section 202).
- 1.5 Alternating-current (AC) module (alternating-current photovoltaic module):** A complete, environmentally protected unit consisting of solar cells, optics, inverter and other components, exclusive of tracker, designed to generate AC power when exposed to sunlight (CEC Article 690.2).
- 1.6 Ballasted photovoltaic system:** A roof-mounted system composed of solar photovoltaic panels and supporting members that are unattached or partially attached to the roof and must rely on its weight, aerodynamics and friction to counter the effect of wind and seismic forces (CBC Chapter 16, 1613.5.1 [1.2]).

##### 2. Solar Ready Requirements

The California Energy Code (Section 110.10) contains mandatory requirements for solar readiness in certain newly constructed single-family and multifamily residences. Although these requirements apply to new construction only, they are briefly outlined here for informational purposes.

**2.1 Solar Zone:** Subject to certain exceptions outlined in the code, newly constructed homes are required to have an area designated for future solar installations on the roof or overhang of the building, the roof or overhang of another structure located within 250 feet of the building or covered parking installed with the building project. This area must meet certain requirements. The minimum solar zone area must have the following.

2.1.1 Minimum area: The solar zone must be no less than 15 percent of the total roof area of the building excluding any skylight area.

2.1.2 Orientation: All sections of the solar zone located on steep-sloped roofs (defined as a roof whose pitch is greater than 2:12) shall be oriented between 110 degrees and 270 degrees of true north.

2.1.3 Shading: The solar zone must be free of obstructions and may not be shaded by certain obstructions outside the zone (Section 110.10.b.2).

**2.2 Documentation:** Construction documentation must clearly show the dead and live load for the solar zone. Collateral loads for future solar energy systems do not need to be shown on the construction documents.

Construction documentation must also show pathways for electrical or plumbing interconnections. This includes a location for inverters and metering equipment and a pathway for routing of conduit from the solar zone to the point of interconnection with the electrical service. For single-family residences, the point of interconnection will be the main service panel.

This information must also be provided to the occupant.

### 2.3 Main Electrical Service Panel

The main electrical service panel shall have a minimum bus bar rating of 200 amps and a marked reserved space for future solar electric installation.

## 3. Structural Requirements

### 3.1 PV systems positively anchored to the building

3.1.1 Exemption from structural calculations: The building official may waive the requirement for structural calculations for solar PV installations on top of existing roofs if the official can readily determine that the additional weight of the new solar PV system on the roof does not affect the structural integrity of the building. Some jurisdictions may have a prescriptive approach for when structural calculations can be waived, however, that varies by the enforcing agency.

To help streamline and simplify the permitting process for roof-mounted solar PV systems, it is highly recommended that local jurisdictions develop a prescriptive approach to meeting the structural requirements so that structural calculations are not always required. Some parameters to consider under such prescriptive approach include the following.

- Maximum distributed weight of the solar PV system in PSF
- Maximum perpendicular distance between the solar PV system and the roof below
- Maximum concentrated load imposed by the PV panel support onto the building's roof
- Minimum size and spacing of rafters or joists for portion of the roof that is supporting the solar PV system
- Maximum span of rafters or joists for portion of the roof that is supporting the solar PV system
- Anchoring requirements such as type of fasteners, minimum fastener size, minimum embedment and minimum number of attachment points
- Any limitation on the type of building construction

- 3.1.2 Structural calculations: When structural calculations are required, calculations shall demonstrate that the primary structure will support the additional vertical and lateral loads from the panels and related equipment.

Note 1: See the appendix to this document for additional guidance on structural calculations.

- 3.1.2.1 Roof dead load: The weight of solar PV systems shall be considered as dead load in the design of the structure (CBC Section 1606, CRC Section R301.4).

For installation of conventional (not BIPV) solar PV panels on existing roofs, roof live load is not imposed where PV panels occur, provided the clear distance under the panels to top of the roof is less than 42" and provided the roof design is adequate for the concentrated loads from the solar PV panel support frames. See Structural Engineers Association of California (SEAOC) Solar PV-3 Live Load document for additional information.

When the roof live load is allowed to be reduced, consideration should be given to the possibility that a roof may have more than one layer of existing. For pre-1960 wood-framed construction, structural calculations may be based on actual (field-measured) lumber sizes (typically greater than modern lumber sizes) and Douglas fir Grade 1 may be assumed unless field conditions indicate otherwise.

Section 3403.3 of the CBC states, in part, that "Any existing gravity load-carrying structural element for which an addition and its related alterations cause an increase in design gravity load of more than 5 percent shall be strengthened, supplemented, replaced or otherwise altered as needed to carry the increased load required by this code for new structures."

- 3.1.2.2 Roof live load: Roof live load is not considered in areas covered by roof-mounted panels where there is less than 42" clear under the panels. Roof surfaces not covered by solar PV panels shall be designed for the roof live load (CBC Section 1607, CRC R301.6).

The building official may determine that live load need not be considered for solar PV panels and associated supporting members that are built on grade. Such interpretation is generally based on the assumption that the solar PV panels will not be stepped on or used by anyone to support any live load

- 3.1.2.3 Wind design: Calculations shall demonstrate that the solar PV panels and associated supporting members are designed to resist wind loads. For ballasted PV systems, see Section 2.2 of this information bulletin (CBC Section 1609, CRC R301.2.1).

Photovoltaic modules/shingle packaging shall bear a label to indicate compliance with the procedures in ASTM D 3161 and the required classification from CBC Table 1507.2.7.1(2) Classification of Asphalt Shingles Per ASTM D 3161 (CBC Section 1507.2.7.1[2]).

The Structural Engineering Association of California has released a white paper titled "Wind Loads on Low-Profile Solar Photovoltaic Systems on Flat Roofs." This document is available at [http://files.engineering.com/download.aspx?folder=7ae26414-8066-4d06-b001-198e5aaf6d88&file=2012-08\\_SEAOC\\_Solar\\_PV\\_wind\\_document\\_Final.pdf](http://files.engineering.com/download.aspx?folder=7ae26414-8066-4d06-b001-198e5aaf6d88&file=2012-08_SEAOC_Solar_PV_wind_document_Final.pdf).

- 3.1.2.4 Seismic design: Calculations shall demonstrate that the solar PV panels and associated supporting members are designed to resist earthquake loads. For ballasted PV systems, see Section 2.2 of this information bulletin (CBC Section 1613, CRC 301.2.2).

Note that Section 3404.4 of the CBC states, in part, that “Any existing lateral load-carrying structural element whose demand-capacity ratios with alteration considered is no more than 5 percent greater than its demand-capacity ratio with the alteration ignored shall be permitted to remain unaltered. . . .”

- 3.1.2.5 For wood construction, supports shall be attached with fasteners of sufficient length and size to achieve minimum required embedment into solid wood taking into consideration the plywood and multiple layers of roofing that may exist, unless otherwise approved by the enforcing agency (ASCE/SEI 7 Section 13.4, CRC Section R301.1.3).
- 3.1.2.6 Snow load: When applicable, include snow loads and loads from snowdrift (CBC Section 1608, CRC R301.2.3).
- 3.1.2.7 Requirements for load combinations: The applicable load combinations in CBC 1605 shall be applied to all loading conditions, including evaluating the effects of dead load to counteract wind uplift for ballasted and anchored systems (CBC Section 1605, CRC Section R301.1.3).
- 3.1.2.8 Alterations, additions and repairs: Sections 3403, 3404, and 3405 of the CBC shall apply to additions, alterations and repairs associated with PV systems. Roof structural components, their connections, additions, alterations and repairs shall be designed to support the loads from the PV panel support frames
- 3.1.2.9 The Division of the State Architect (DSA) Interpretation of Regulations Article 16.8, intended for public schools, provides useful code interpretation guidance to non-DSA code officials regarding several types of solar systems, both ground- and roof-mounted.

**3.2 Ballasted PV system:** PV panels in a ballasted system are typically not attached to the roof and rely on their weight, aerodynamics and friction to counter the effect of wind and seismic forces. In some cases, ballasted systems have few attachment points to supplement the friction forces. Ballasted systems have low ratios of height-to-base width or length, which makes them inherently stable against overturning.

Section 13.4 of ASCE/SEI 7-10 requires that nonstructural components and their supports be attached (or anchored) to the structure. Ballasted solar PV systems are not addressed in the ASCE/SEI 7 and not part of the 2010 CBC.

During the 2012 Triennial Code Adoption Cycle, the Department of Housing and Community Development and the Building Standards Commission proposed an amendment in CBC Section 1613.5, which provides a definition for ballasted photovoltaic systems and allows local governments to approve such systems if they are inclined to accept the weight and friction methodology. This amendment was developed by the Structural Engineers Association of California – Solar Photovoltaic Systems Committee and was based on recently approved Item S72-12 at the ICC Code Development Hearing.

This new language, as written, provides building officials with additional criteria under which a ballasted solar system can be permitted.

Note 1: Electrical connections and wiring in a ballasted system should be designed to accommodate movements within the system.

Note 2: The Structural Engineering Association of California is in the process of developing a white paper, titled “Structural Seismic Requirements and Commentary for Rooftop Solar Photovoltaic Systems,” addressing the seismic design of ballasted systems. Once available, a link to the white paper will be included in this document.



**3.3 Structural strength of PV panels:** The structural strength of solar PV panels is not addressed in the code.

UL 1703, Third Edition, published March 15, 2002, requires that solar PV panels be tested to withstand a superimposed load of 30 PSF. Therefore, all solar PV panels that are listed per UL 1703 are considered to meet this requirement. When used as a building component and depending on the load values that the solar PV panels are subjected to, the enforcing agency may require a test report from an agency recognized by the enforcing agency showing the strength of the solar PV panels.

**3.4 Condition of existing roof:** Solar PV systems shall not be installed on an existing roof that is deteriorated to the point where it is not adequate as a base. (This interpretation is based on CBC Section 1510 and CRC R907.)

**3.5 Premanufactured support systems:** Premanufactured support systems must support the PV system and allow the system to stay attached to the structure when exposed to wind, snow or seismic loads. Compliance of the PV support system with appropriate building codes is accomplished through a design specified by a licensed engineer or architect or through research reports from approved sources as defined in CBC Section 1703.4.2. Solar support component manufacturers often provide structural engineering design guidelines, worksheets, code compliance reports and Internet website calculators. The manufacturer's engineering guidelines are intended to ensure that the solar array above the roof and its connection to the roof are code compliant. Additional requirements may be imposed by the enforcing agency (CRC Section R301.1.3, CBC Section 1703.4.2).

## 4. Fire Safety Provisions

### 4.1 Fire/roof classification of photovoltaic (PV) panels

#### 4.1.1 Solar PV panels installed on top of a building's roof structure

4.1.1.1 Solar PV systems installed on top of a roof where the space between the solar PV panels and the roof has no use and no potential use are generally considered to be equipment. These solar PV panels/modules shall comply with the minimum fire/roof classification requirements for roof covers as required by CBC Section 1505.

For installations in State Responsibility Areas (SRA) or High Fire Hazard Severity Zones, additional provisions adopted by the local enforcing agency may be applicable. Check with the enforcing agency for any additional requirements.

4.1.1.2 Solar PV panels used as roofing on an independent (stand-alone) structure: Solar PV panels/modules that are designed to be on the roof and span to structural supports, and have a use or occupancy underneath, shall comply with the minimum fire/roof classification requirements for roof covering as required by CRC Section R902. An example of this type of installation is a carport structure having solar PV panels as the roof.

4.1.1.3 Solar PV panels installed as a part of a building's roof structure: Solar PV panels installed as integrated roofing material shall comply with the minimum fire/roof classification requirements for roof covering as required by the current CRC Section R902. An example of this type of installation is PV modules integrated into the roofing shingles (BIPV systems).

4.1.2 Solar PV systems installed on grade: Solar PV panels that are part of a stand-alone, ground-mounted solar PV panel structures, with no use and no potential use underneath are generally considered equipment and therefore the fire/roof classification requirements would not apply. The solar PV panels will require a clear, brush free area of 10 feet around the installation. (Based on the definition of a roof assembly in CRC Section R202/CBC Section 1502.)

- 4.2 Area, height, and story limitations:** Where there is a use between the solar PV panels and the roof/ grade underneath, adding such solar PV structures may constitute additional floor area, story and/or height. Solar PV panels supported by framing that has sufficient uniformly distributed and unobstructed openings throughout the top of the array (horizontal plane) to allow heat and gases to escape, as determined by the enforcing agency, are generally considered equipment. Provisions relating to solar PV height and area requirements are contained in CBC Section 503 and Table 503.
- 4.3 Location from property line and adjacent buildings:** Solar PV panels and associated framing, with no use and no potential use between the panels and the grade underneath, are generally treated as equipment. When not considered equipment, they may be considered a structure and shall be located and protected based upon the code required fire separation distance to property lines and adjacent buildings (CRC Section R302.1, CBC Section 602).
- 4.4 Fire proofing of structural support:** Depending on the type of building, support structures of solar PV systems that have a use or have potential for use underneath (such as carports) may be required to be fire proofed in accordance with CBC Section 602.
- 4.4.1 The following installations are generally considered equipment and are not subject to this requirement provided that the structural members are noncombustible.
- Stand-alone PV panel structures with no use and no potential use underneath (based on definition of a roof assembly in CBC Section 202).
- Solar PV panels supported by framing that has sufficient uniformly distributed and unobstructed openings throughout the top of the array (horizontal plane) to allow heat and gases to escape, as determined by the enforcing agency (based on definition of a roof assembly in CBC Section 202).
- 4.4.2 Alternate designs can be considered when approved by the enforcing agency as an alternative material, design or method of construction pursuant to CBC Sections 1.2.2, 1.8.7, or 1.11.2.4 as applicable.
- 4.5 Rooftop structures:** Unenclosed rooftop structures supporting solar PV systems with no use underneath are generally not subject to CBC Section 1509.2.
- 4.6 Fire sprinklers:** In buildings that are required to be provided with fire sprinklers, the CBC requires that all parts of the building have sprinkler coverage except where an exemption is specifically required. See amendments to NFPA section 8.15.7.6 in Chapter 35 of the CBC.
- 4.6.1 Solar photovoltaic (PV) panels supported by framing that have sufficient uniformly distributed and unobstructed openings throughout the top of the array (horizontal plane) to allow heat and gases to escape, as determined by the enforcing agency, are generally not subject to this requirement (CBC Section 903.3.3).
- 4.6.2 Solar PV panels placed above the roof, with no use and no potential use between the panels and the roof, are generally not subject to this requirement (based on definition of a roof assembly in CBC Section 1502 and CRC Section R202).
- 4.6.3 Existing exemptions in the code may be used for a solar PV installation if it meets the intent of the exemption. This will be subject to approval by the enforcing agency.
- 4.7 Roof Access and Pathways:** The installation of solar PV systems must allow for fire department smoke ventilation operations. Roof access point, clear access pathways, solar PV systems spacing and layout must comply with the recruitments outlined in CRC Section R331
- 4.8 Markings:** The solar PV systems must be marked or labeled in accordance with CRC Section R331 and CEC Article 690. Markings are to be placed every 10 feet and in other areas as required.



**4.9 Other fire safety requirements or guidelines:** The installation of solar PV systems may be subject to additional provisions adopted by the local enforcing agency. Check with the enforcing agency for additional requirements.

**5. Roof drainage:** Roof-mounted solar PV systems shall not cause excessive sagging of the roof that results in water ponding. They shall also not block or impede drainage flows to roof drains and scuppers. See CBC Section 1503.4 and CRC Section R903.4. CPC Section 1101.11 also applies.

**6. Roof penetrations:** All roof penetrations shall be sealed using approved methods and products to prevent water leakage. Such methods include but not limited to caulking, roof jacks and sheet metal flashing (CBC Section 1503.2, CRC Section R903.2).

**7. Skylights:** Solar PV panels shall maintain a minimum clearance around the perimeter of skylights as not to interfere with the function of the skylight, as determined by the enforcing agency.

**8. Plumbing vent, mechanical equipment and mechanical exhaust terminations:** Solar PV panels shall not obstruct or interfere with the function of plumbing vents or mechanical equipment (CPC Sections 901.1 & 906, CMC Section 304).

**9. Guard rails:** When required by the enforcing agency, guard rails may apply to solar PV systems (CBC Section 1013.6).

## **10. Disabled access requirements**

### **10.1 Nonresidential, hotel, motel buildings, facilities or structures (See CBC Chapter 11B)**

10.1.1 Scope: Accessibility to solar PV support structures that create a use or occupancy shall be provided for all occupancy classifications in accordance with Chapter 11B.

10.1.2 General: When alterations, structural repairs or additions are made to existing buildings or facilities for the purpose of installing a solar PV system, they shall comply with Chapter 11B.

Note: New solar PV systems that do not create or expand a use or occupancy and consist only of installation of the solar PV system and related electrical work that does not affect disabled access requirements for existing buildings regulated by Chapter 11B are not considered alterations for the purpose of accessibility and should not be subject to accessibility upgrades.

### **10.2 Residential buildings, facilities or structures**

10.2.1 Scope: New solar PV systems serving covered multifamily dwellings that create a use or occupancy shall comply with the provisions of Chapter 11A.

10.2.2 Existing buildings: The building standards contained in Chapter 11A do not apply to the installation of solar PV systems serving privately funded multifamily dwellings constructed for first occupancy prior to March 13, 1991.

**10.3 Parking:** Required accessible parking spaces shall be provided and maintained in accordance with the applicable provisions of Chapter 11A, Sections 1109A and Chapter 11B.

Note: Alterations: Where parking lots, parking structures or parking facilities are re-striped or otherwise altered to accommodate solar PV systems, required accessible parking spaces shall be maintained or shall be provided in accordance with the applicable provisions of Section 1109A and Chapter 11B.

## **PART II: ELECTRICAL CODE REQUIREMENTS**

- 1. Product listing (certification):** The solar PV panel/module and other equipment used in the PV system shall be listed/certified by a nationally recognized listing/certification agency in accordance with the applicable standards.
- 2. Installation:** The installation of the solar PV system must conform to the requirements of the California Electrical Code (CEC).
- 3. Signage:** Signage must conform to the requirements of the (CEC). Signage requirements and location of certain equipment for solar PV systems may be subject to additional provisions adopted by the enforcing agency.

## **PART III: LOCAL ELECTRIC UTILITY REQUIREMENTS**

Check with the local utility for any incentives, interconnection, operating and metering requirements.

## GLOSSARY

**AHJ:** Acronym that stands for authority having jurisdiction. AHJ is often used to describe the designated department or agency that enforces certain laws or regulations. It is often used interchangeably with the term enforcing agency.

**BIPV:** Acronym that stands for building integrated photovoltaics, which is a form of photovoltaic solar energy technology that is integrated into the building envelope to become a part of the roof, skylight or facade.

**California Building Standards Commission:** State entity that administers California's building codes, including the adoption, approval and publication. They follow a triennial code adoption cycle in which state agencies submit their proposals for code changes.

**California Department of Housing and Community Development (HCD):** State department responsible for preserving and expanding safe and affordable housing opportunities. HCD develops the building standards that govern construction and maintenance on all forms of housing and ensures that the standards are properly enforced, identifies California's housing needs and develops policies to meet those needs.

**California State Fire Marshal:** State office that supports CAL FIRE by focusing on fire prevention through fire prevention engineering, training, education and enforcement.

**Contractor:** A contractor licensed by the State of California performing work within the scope of their license.

**Dead load:** The weight of materials of construction incorporated into the building, including but not limited to walls, floors, roofs, ceilings, stairways, built-in partitions, finishes, cladding and other similarly incorporated architectural and structural items and the weight of fixed service equipment, such as cranes, plumbing stacks and risers; electrical feeders; heating, ventilating and air-conditioning systems; and automatic sprinkler systems.

**Enforcement:** (As defined in Title 24) A diligent effort to secure compliance, including review of plans and permit applications, response to complaints, citation of violations and other legal process. Except as otherwise provided in this part, "enforcement" may, but need not, include inspections of existing buildings on which no complaint or permit application has been filed and effort to secure compliance as to these existing buildings.

**Enforcing agency:** The designated department or agency that enforces certain laws or regulations, as specified by statute or regulation. In regard to solar PV installations, this entity is can also be referred to as the "permitting agency," since it is often the entity that issues a permit to allow for solar installations to be constructed.

**General plan:** A document adopted by a city or county to create a long-term vision to guide the jurisdictions future growth and land use. It includes a statement of development policies and implementing actions to achieve its development objectives.

**Live load:** Those loads produced by the use and occupancy of the building or other structure and do not include construction or environmental loads such as wind load, snow load, rain load, earthquake load, flood load or dead load.

**Photovoltaic:** A method of generating electrical power by converting solar radiation (sunlight) into direct current electricity using semiconductors.

**Qualified person:** One who has the required state license and has proper skills and knowledge related to the construction and operation of the electrical equipment and installations and has received safety training to recognize and avoid the hazards involved.

**Solar photovoltaic system:** The total components and subsystems that, in combination, convert solar energy into electric energy suitable for connection to utilization load.

**Title 24:** The section of the California Code of Regulations that governs the design and construction of all buildings and associated facilities and equipment in California.

## ADDITIONAL RESOURCES

### **Alternative Energy Equipment and Systems Marking and Application Guide**

Underwriters Laboratories (UL) publishes the [Alternative Energy Equipment and Systems Marking and Application Guide](#). The guide provides application and UL product category information for PV systems, thermal solar systems, fuel cells and hydrogen generators, engine generators, microturbines and wind turbine generating systems. This guide is available online and can be found in Appendix A of the most recent UL White Book.

### **California Solar Initiative Incentives**

Information about state incentives offered for solar installations. Most incentives allocated for PV installations have been exhausted, but incentives remain for new construction homes and solar thermal installations.

### **California Solar Energy Industries Association (CALSEIA)**

CALSEIA provides a ready-made forum for public agencies to communicate with contractors and/or seek feedback on changes to local permitting requirements or processes. Local chapters of CALSEIA exist throughout the state.

### **Center for Sustainable Energy (CSE)**

CSE provides implementation expertise and training to public agencies on solar permitting. CSE is the statewide awardee of the Department of Energy's Rooftop Solar Challenge, a component of the SunShot Initiative. Template permitting documents and AB 2188 Implementation Guide are available [online](#).

### **Energy Aware Planning Guide**

Developed by the California Energy Commission, the [Energy Aware Planning Guide](#) is a comprehensive resource for local governments seeking to reduce energy use, improve energy efficiency and increase adoption of renewable energy across all sectors. The guide presents a menu of strategies and best management practices to help local governments improve energy efficiency, reduce energy consumption through transportation and land use and enhance renewable sources of energy.

### **Energy Aware Facility Siting and Permitting Guide**

Developed by the California Energy Commission, the [Energy Aware Facility Siting and Permitting Guide](#) assists local governments with developing general plan energy and transmission elements and provides guidance on utility-scale electricity generation and transmission planning and permitting. The guide discusses the increasing role of local governments in energy planning and permitting, describes the energy regulations and policies (both federal and state) and planning processes that define future electricity generation and transmission needs, and identifies opportunities for local government involvement in electricity infrastructure planning and permitting.

### **Expedited Permit Process for PV System**

Recommendations developed for the Solar American Board for Codes and Standards (Solar ABCs) by Bill Brooks, P.E., Brooks Engineering. This [document](#) outlines a standardized review process for small-scale PV systems.

### **Incentive and Interconnection Information**

Following are weblinks to information on major utilities' interconnection rules and procedures.

### **Los Angeles Department of Water and Power (LADWP)**

### **Pacific Gas and Electric (PG&E)**

- [Standard Net Energy Metering](#) (includes links to Interconnection forms)

### **Sacramento Municipal Utility District (SMUD)**

### **San Diego Gas and Electric (SDG&E)**

### **Southern California Edison (SCE)**

- [Net Metering FAQs](#)
- [Net Energy Metering Interconnection Handbook](#)

### **Sharing Success — Emerging Approaches to Efficient Rooftop Solar Permitting**

Published by the Interstate Renewable Energy Council (IREC) in May 2012, this [report](#) outlines innovative strategies being implemented across the U.S. to help increase the efficiency of permitting procedures for rooftop solar systems.

### **Solar America Board for Codes and Standards (Solar ABCs)**

[Solar ABCs](#) is a collaborative effort funded by the Department of Energy. This entity publishes several helpful reports and recommendations, including a permit streamlining guideline.

### **Solar Energy Facilities Permit Streamlining Guide**

A [guide](#) produced by the California County Planning Directors Association (CCPDA) to help counties facilitate development of solar energy facilities. The guide describes the laws and regulations applying to solar energy facilities, points to consider regarding solar energy development and lists current procurement and incentive programs for renewable energy. The guide also provides a model ordinance regarding solar energy facilities and further outlines policy options and guidance for counties regarding solar energy.

### **Solar Instructor Training Network**

The [Solar Instructor Training Network](#) (SITN) promotes high-quality training in the installation of solar technologies. Nine regional resource and training providers support the professional development of trainers and instructors of solar PV and solar heating and cooling technologies across the country. The Interstate Renewable Energy Council (IREC) became the national administrator of the Solar Instructor Training Network in 2010. IREC also provides several guides and checklists on permitting best practices.

### **Structural Technical Appendix for Residential Rooftop Solar Installations**

A detailed description of the structural engineering principles and assumptions behind Toolkit Document 5, Structural Criteria for Residential Rooftop Solar Energy Installations. This Appendix delineates how the document conforms to the California Residential Code and California Building Code and also discusses options that jurisdictions may want to consider in implementing Toolkit Document 5. Additionally, the Technical Appendix offers guidance to address some nonconforming items, such as when an anchor layout is not based on a solar support component manufacturer's guidelines or when a dwelling is located within 200 yards of the ocean (Wind Exposure D).

### **California Solar Rights Act: A Review of the Statutes and Relevant Cases**

The Energy Policy Initiatives Center at the University of San Diego School of Law has produced this detailed analysis of the California Solar Rights Act. This review details the evolution of the act since its passage in the late 1970s. A series of court cases, detailed in this [document](#), have shaped how the act is applied and practiced throughout the state.



Governor's Office of Planning and Research  
Office of Governor Edmund G. Brown Jr.



